PUFchain 3.0: Hardware-Assisted Distributed Ledger for Robust Authentication in the Internet of Medical Things

Presenter: Venkata K. V. V. Bathalapalli

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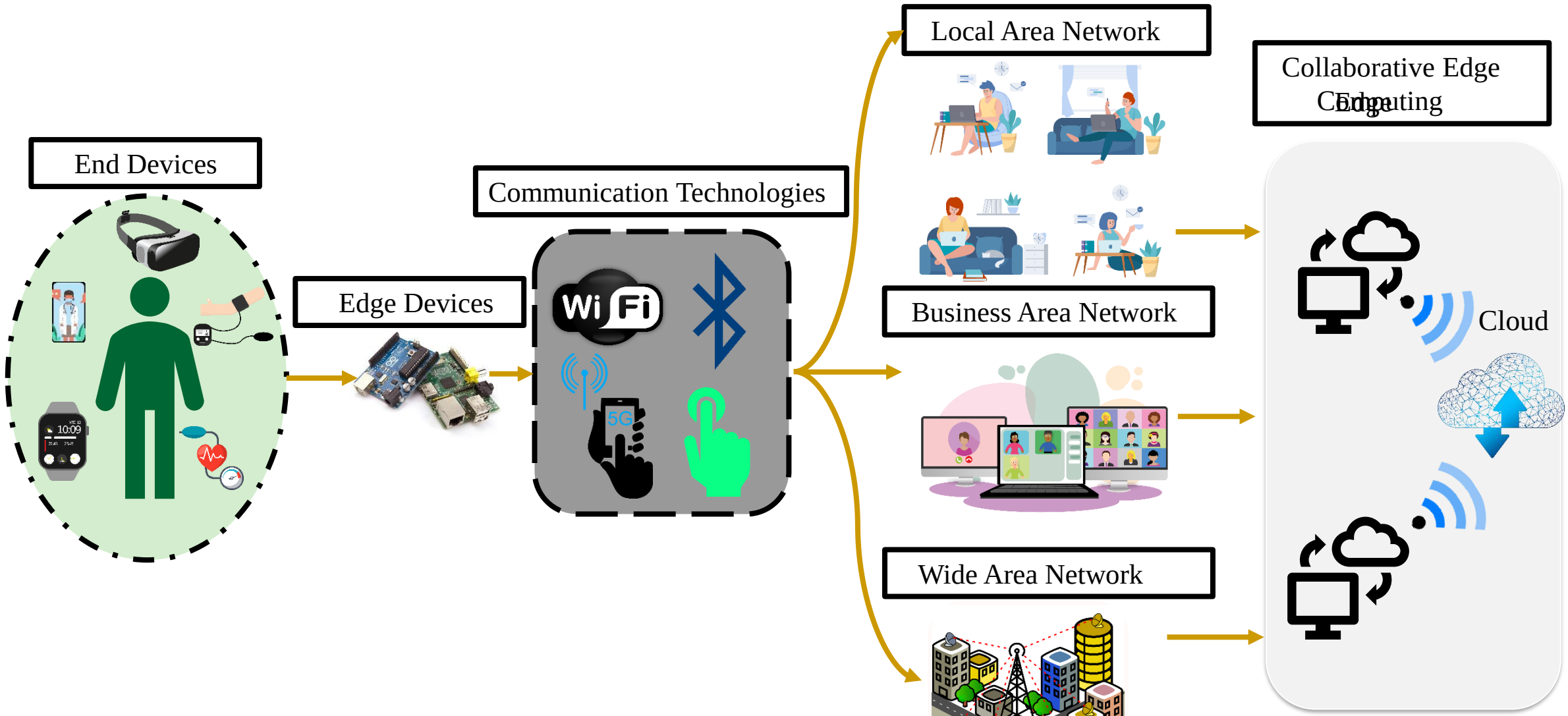
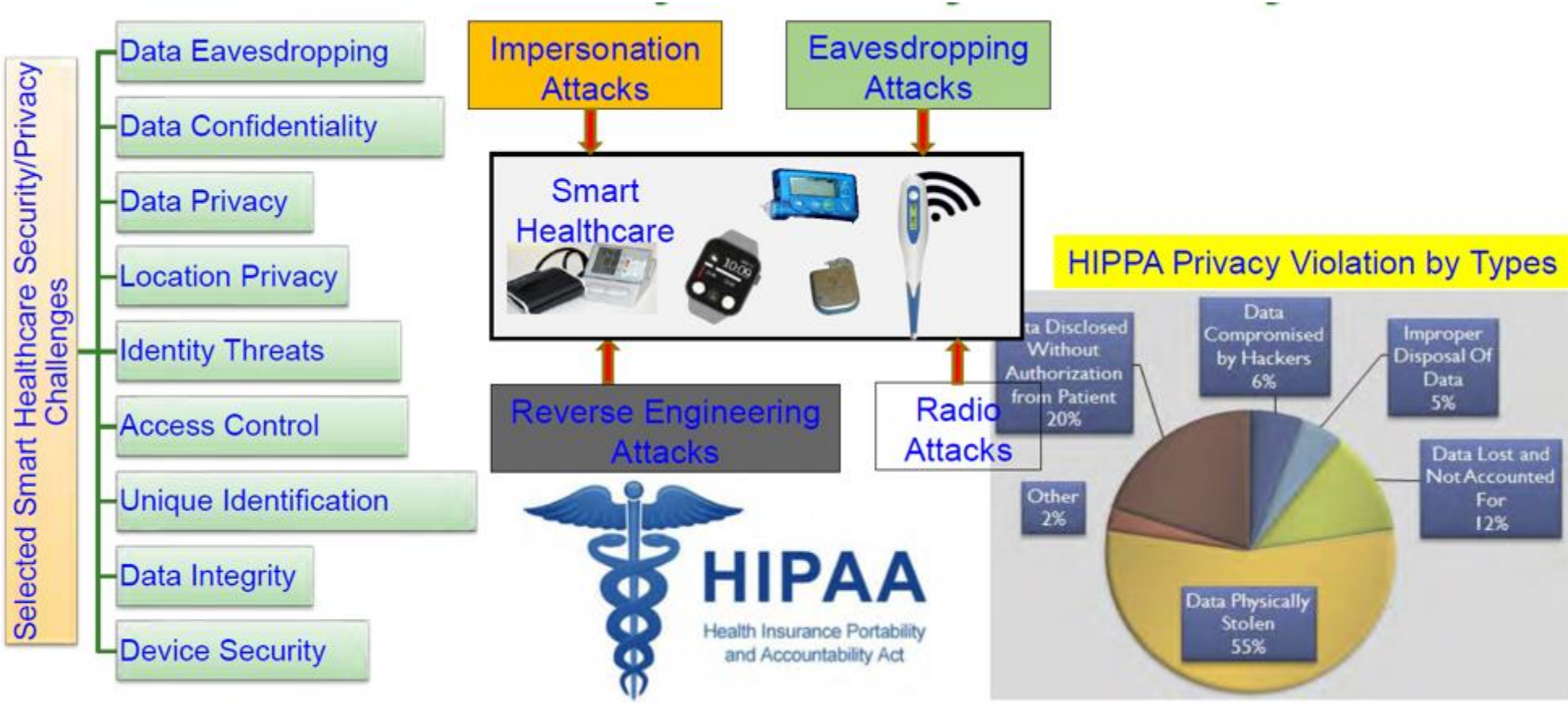
Outline

- The Big Picture
- Novel Contributions
- Related Works
- Working Flow of PUFchain 3.0
- Implementation and Validation
- Conclusions & Future Work

Architecture of H-CPS

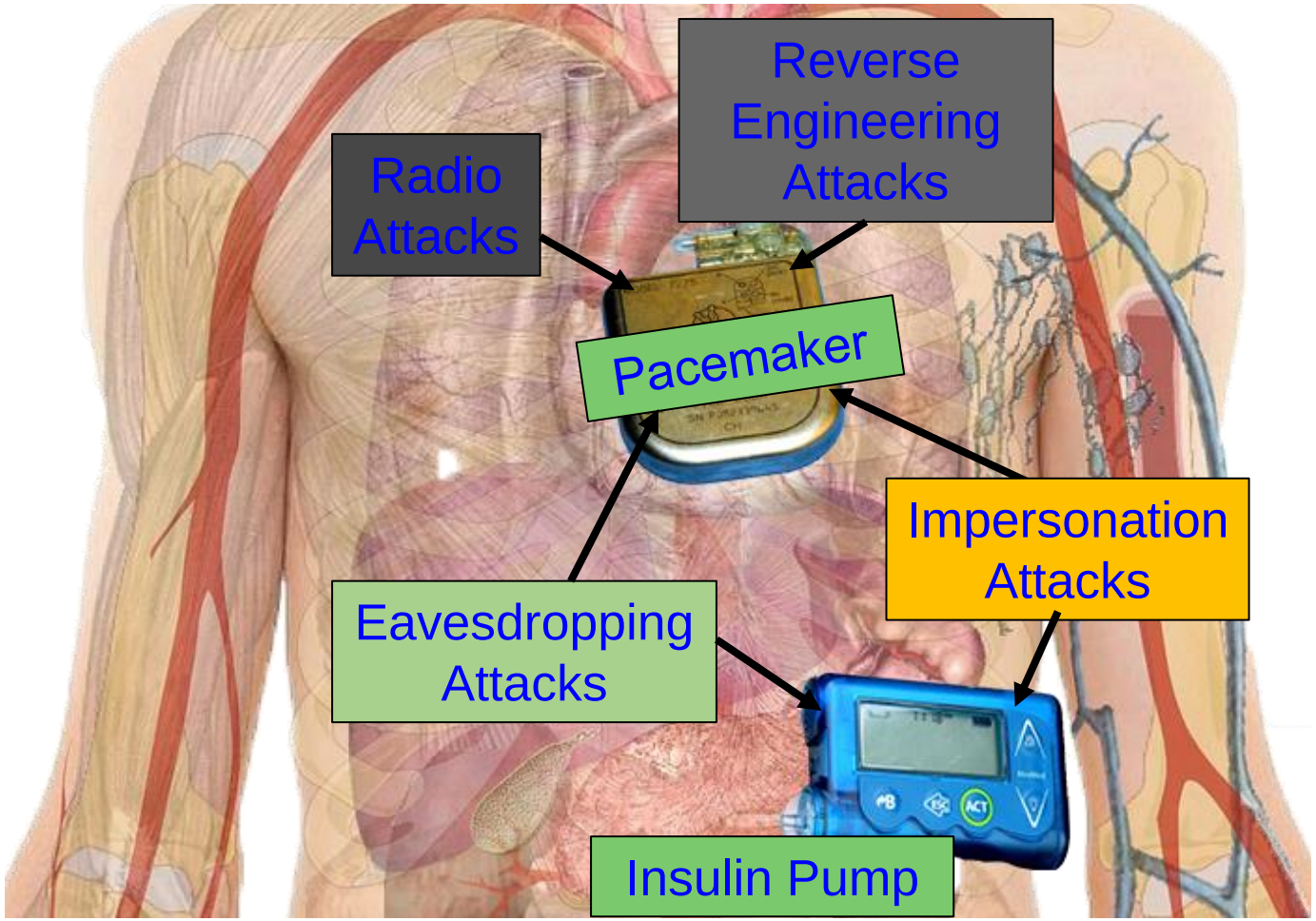


Smart Healthcare Cybersecurity Issues



Source: Expert Lecture - Workshop on VLSI Device and Circuit Design Tools, School of Electronics Engineering, VIT-AP University - 23 June 2022 (Physical Unclonable Function (PUF) as the Hardware-Assisted Security (HAS) Primitive)

Cybersecurity Measures in Healthcare Cyber-Physical Systems is Hard

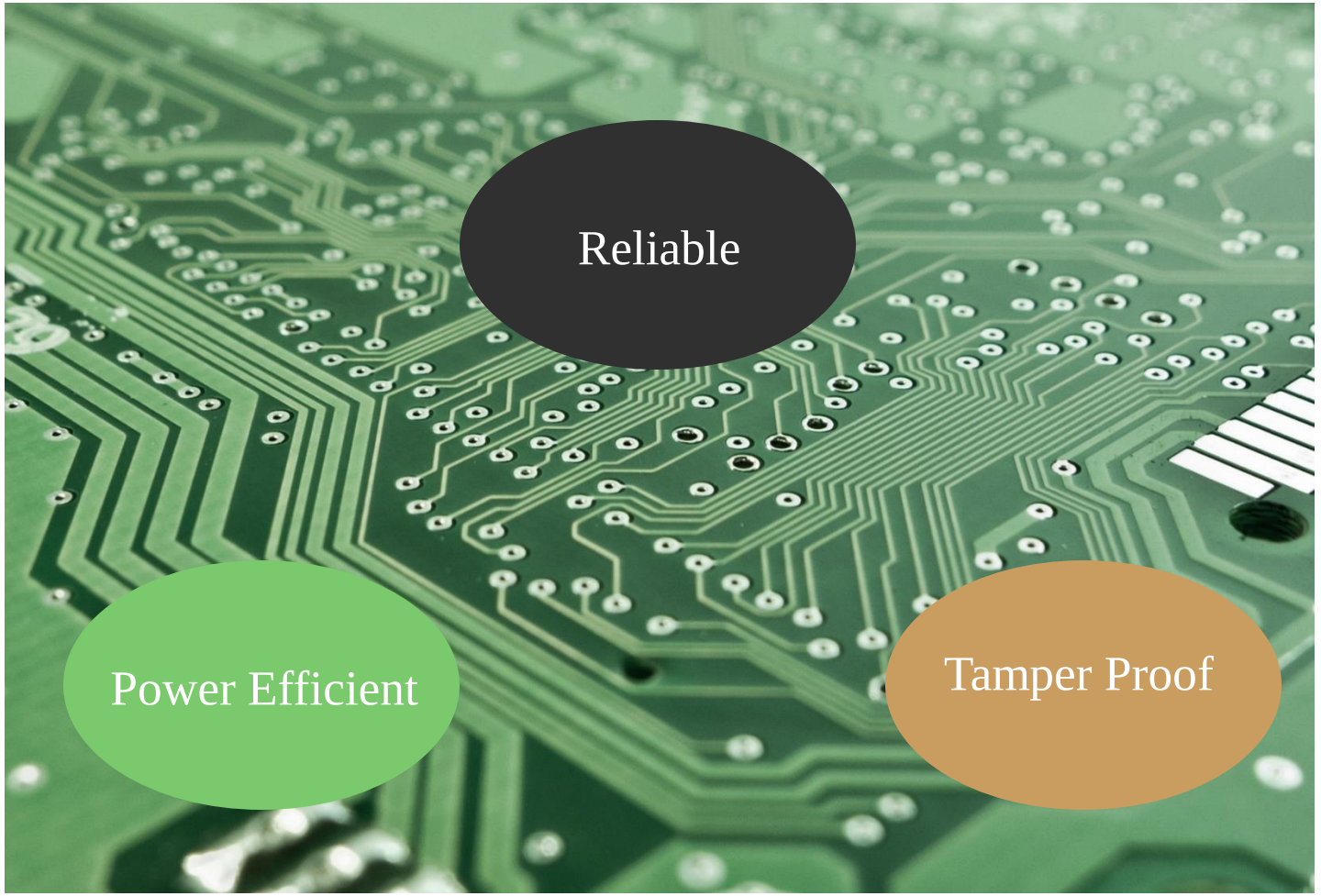


Collectively (WMD+IMD): Implantable and Wearable Medical Devices (IWMDs)

Implantable and Wearable Medical Devices (IWMDs):

→ Longer Battery life
→ Safer device
→ Smaller size
→ Smaller weight
→ Not much computational capability

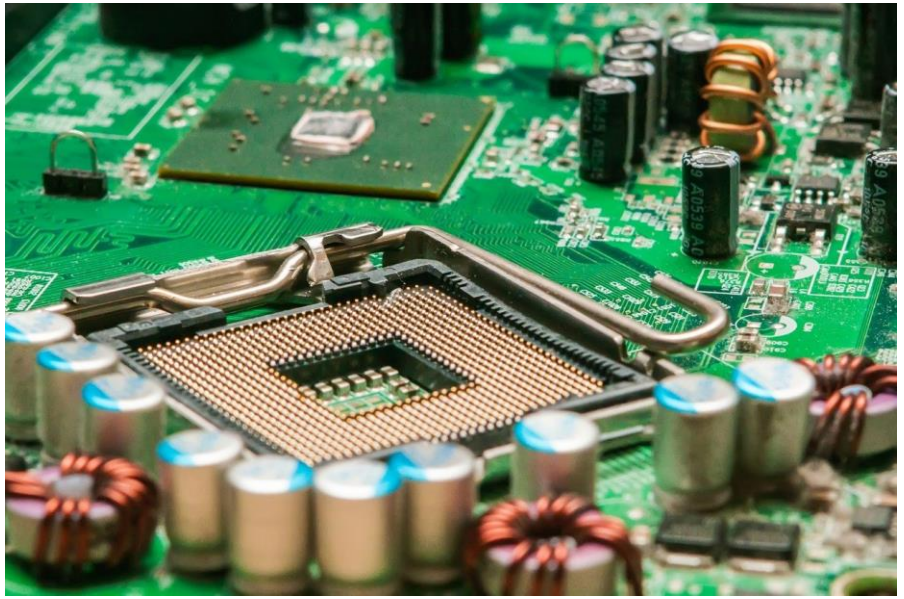
PUF: A Hardware-Assisted Security Primitive



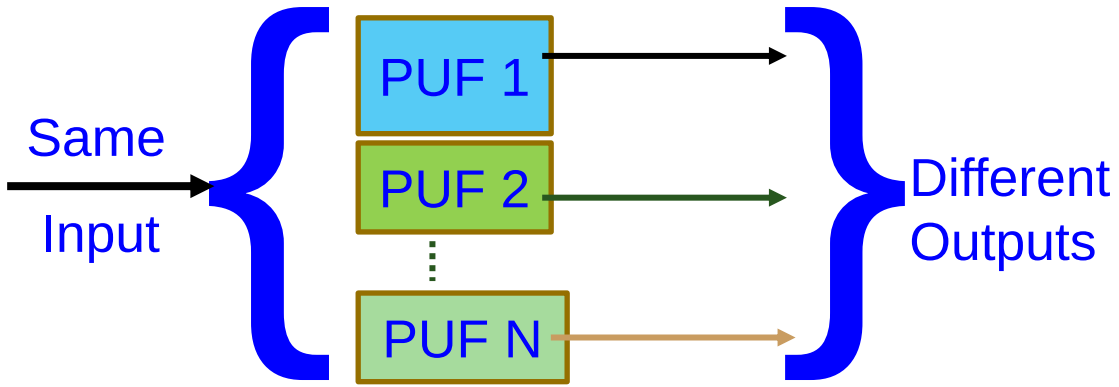
- A secure fingerprint generation scheme based on process variations in an Integrated Circuit
- PUFs don't store keys in digital memory, rather derive a key based on the physical characteristics of the hardware; thus secure.
- A simple design that generates cryptographically secure keys for the device authentication

PUF: A Hardware-Assisted Security Primitive

- ✓ PUF has a Challenge as an Input and Response as an Output
- ✓ Response output from the PUF design will be unique for the challenge input on that PUF design
- ✓ Arbiter and Ring Oscillator PUFs are the most widely used PUF designs for IoT applications
- ✓ Delay based PUF designs support higher number of Challenge Response pairs (CRP)



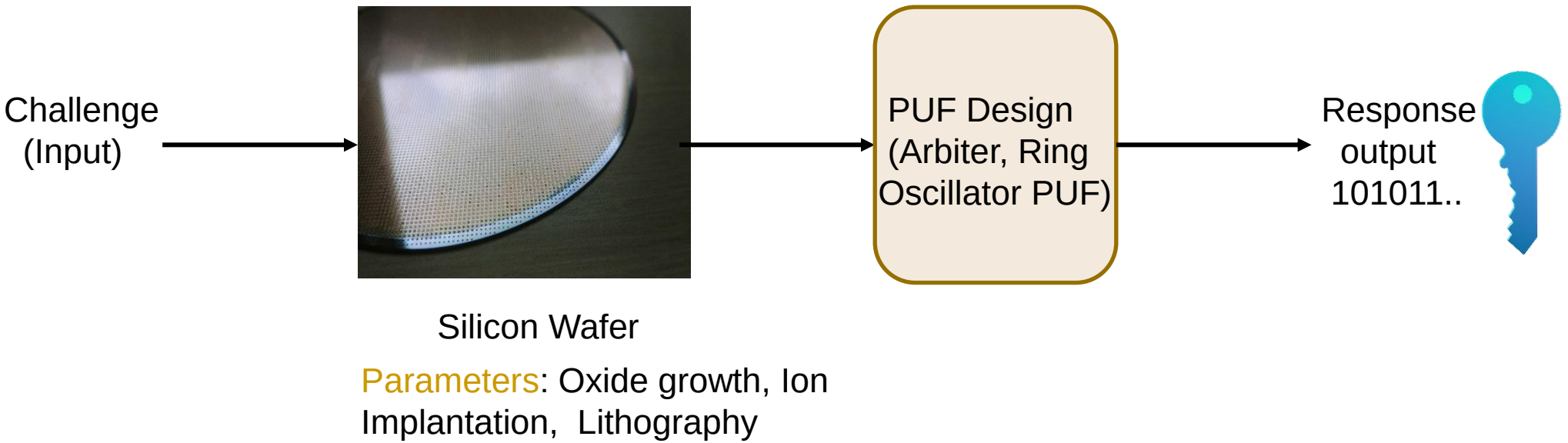
PUF Key Generation and Working



Source: iSES 2019 Demo (PUFchain: Hardware-Integrated Scalable Blockchain)

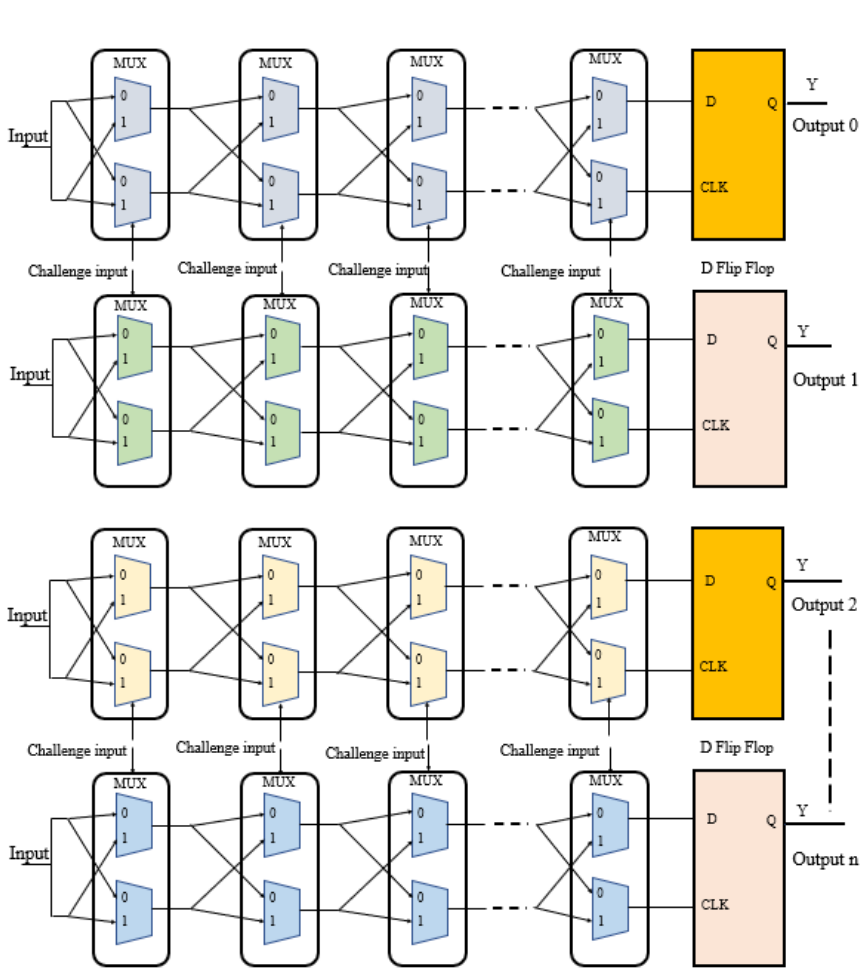
PUF-Principle

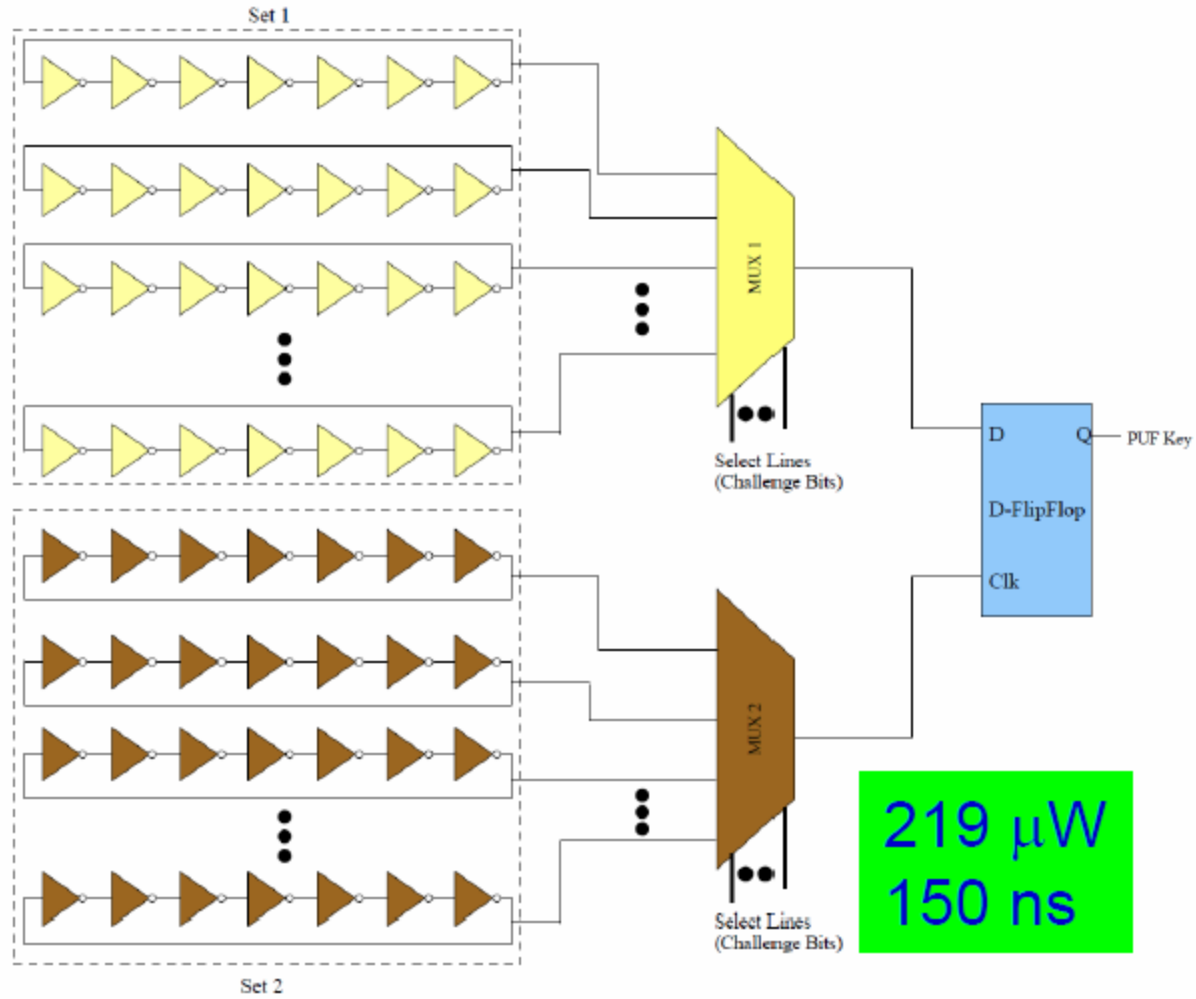
- PUF keys are not stored in the digital memory. But the keys are generated using silicon manufacturing process variations.



Source: OCIT 2021 Talk (A PUF Based Approach for Sustainable Cybersecurity in Smart Agriculture)

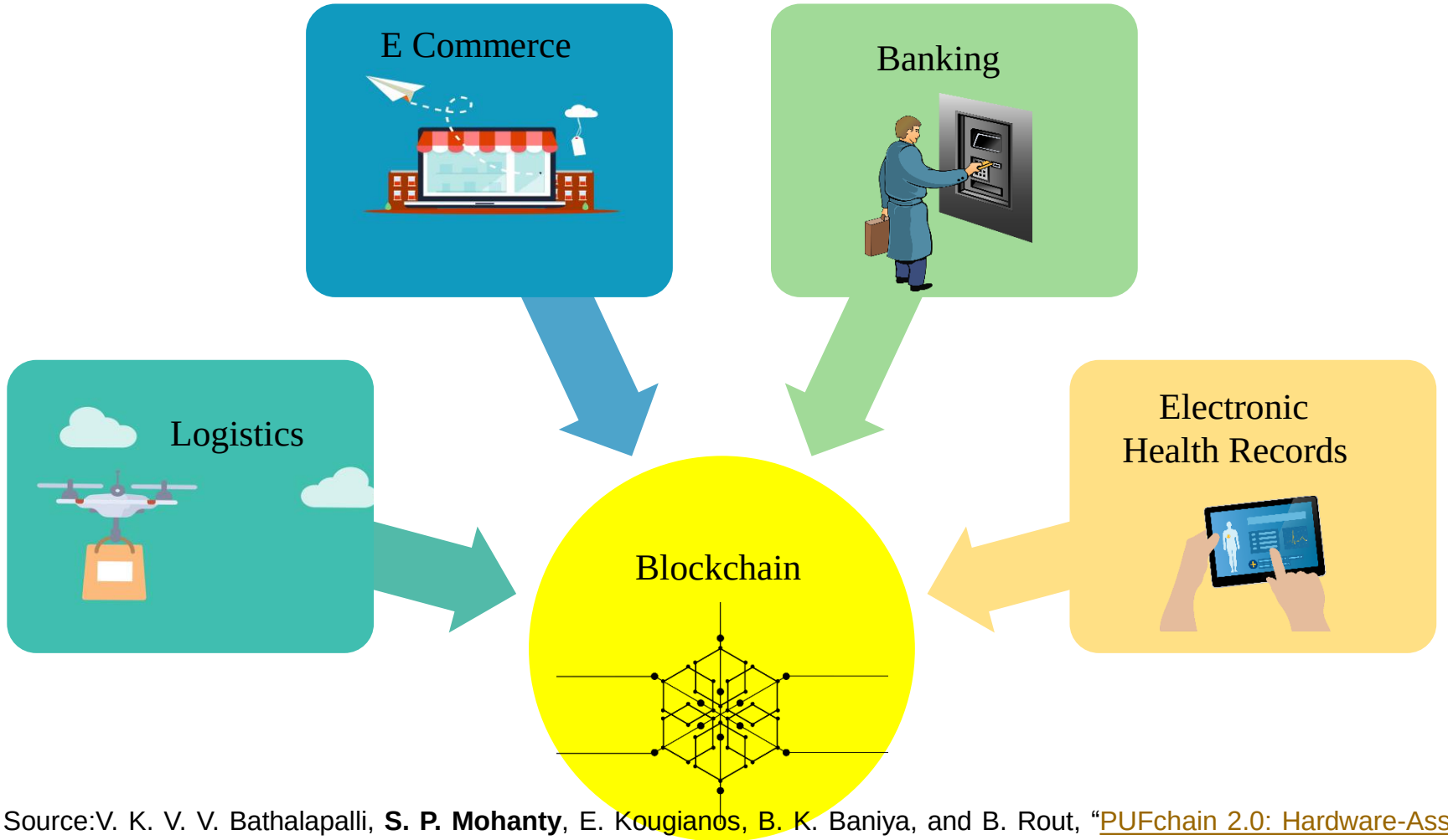
PUF Designs





Source: iSES 2019 Demo (PMsec: PUF-Based Energy-Efficient Authentication of Devices in the Internet of Medical Things (IoMT))

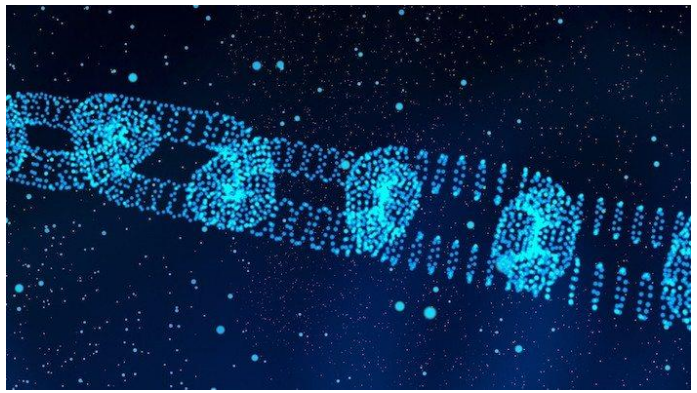
Applications of Blockchain

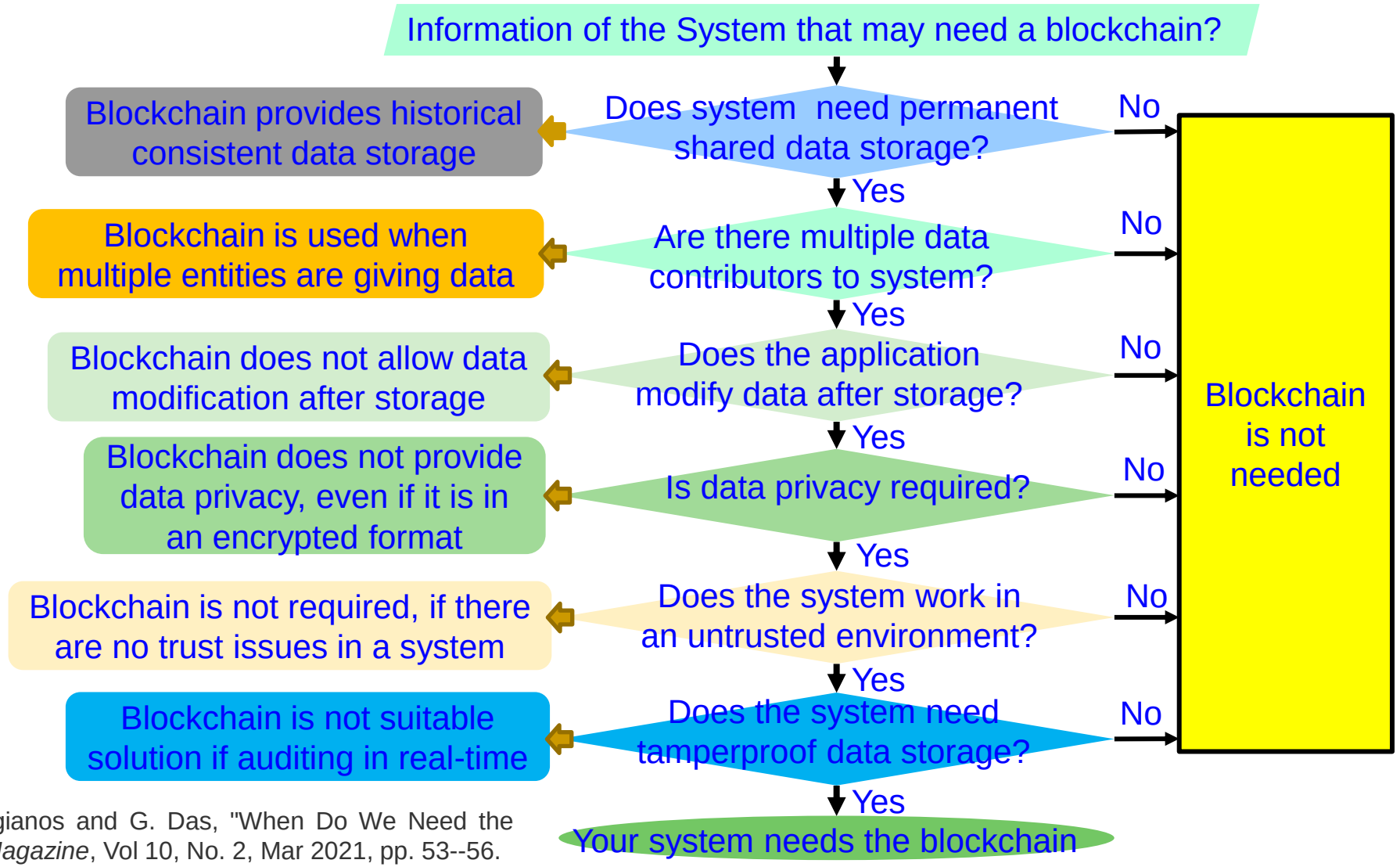


- Blockchain can be Public, Private, and Consortium
- Proof of Work (PoW), Proof of Stake(PoS), and Proof of Authentication(PoAh) are prominent consensus algorithms
- PoAh is 1000 times faster than PoW

Source:V. K. V. V. Bathalapalli, **S. P. Mohanty**, E. Kougianos, B. K. Baniya, and B. Rout, "PUFchain 2.0: Hardware-Assisted Robust Blockchain for Sustainable Simultaneous Device and Data Security in Smart Healthcare", *Springer Nature Computer Science (SN-CS)*, Vol. 3, No. 5, Sep 2022, Article: 344, 19-pages, DOI: https://doi.org/10.1007/s42979-022-01238-2.

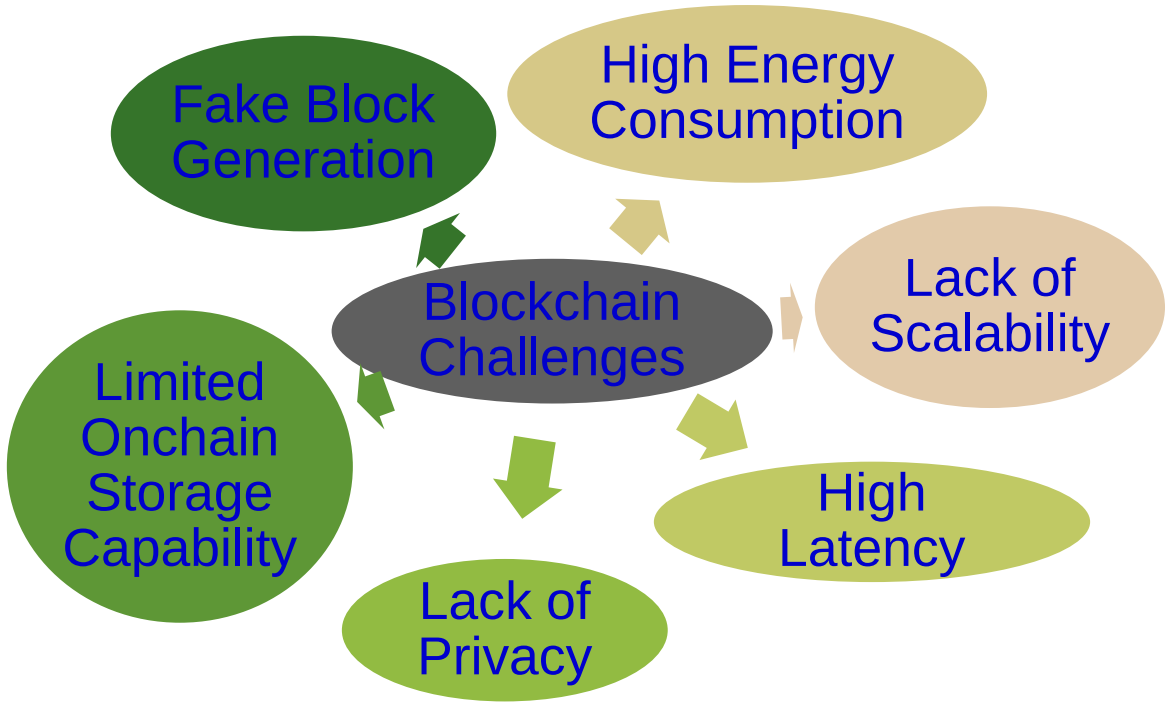
When do You Need the Blockchain?

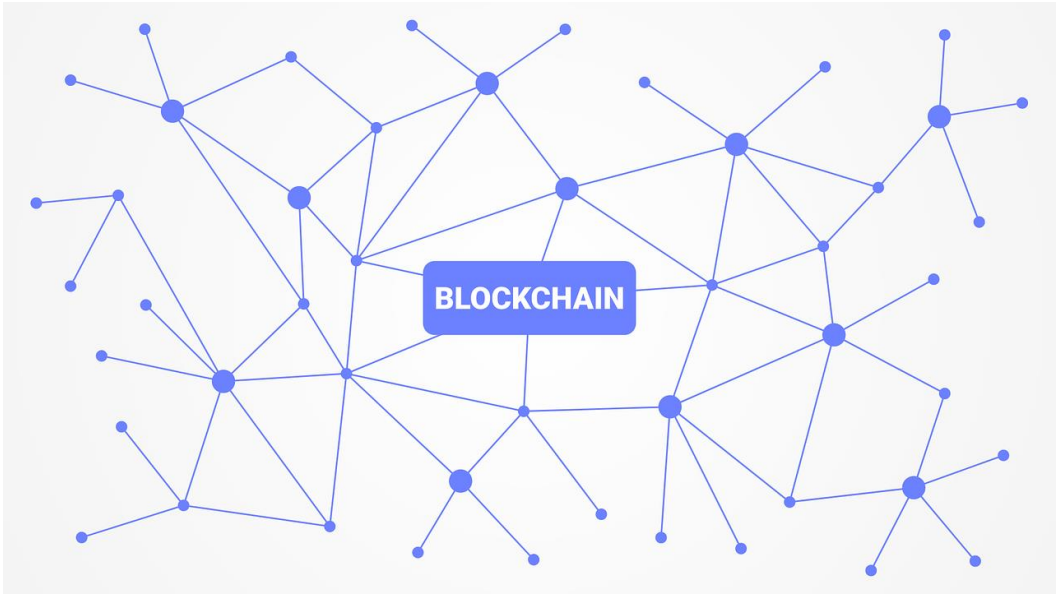




Source: D. Puthal, S. P. Mohanty, E. Kougianos and G. Das, "When Do We Need the Blockchain?," *IEEE Consumer Electronics Magazine*, Vol 10, No. 2, Mar 2021, pp. 53--56.

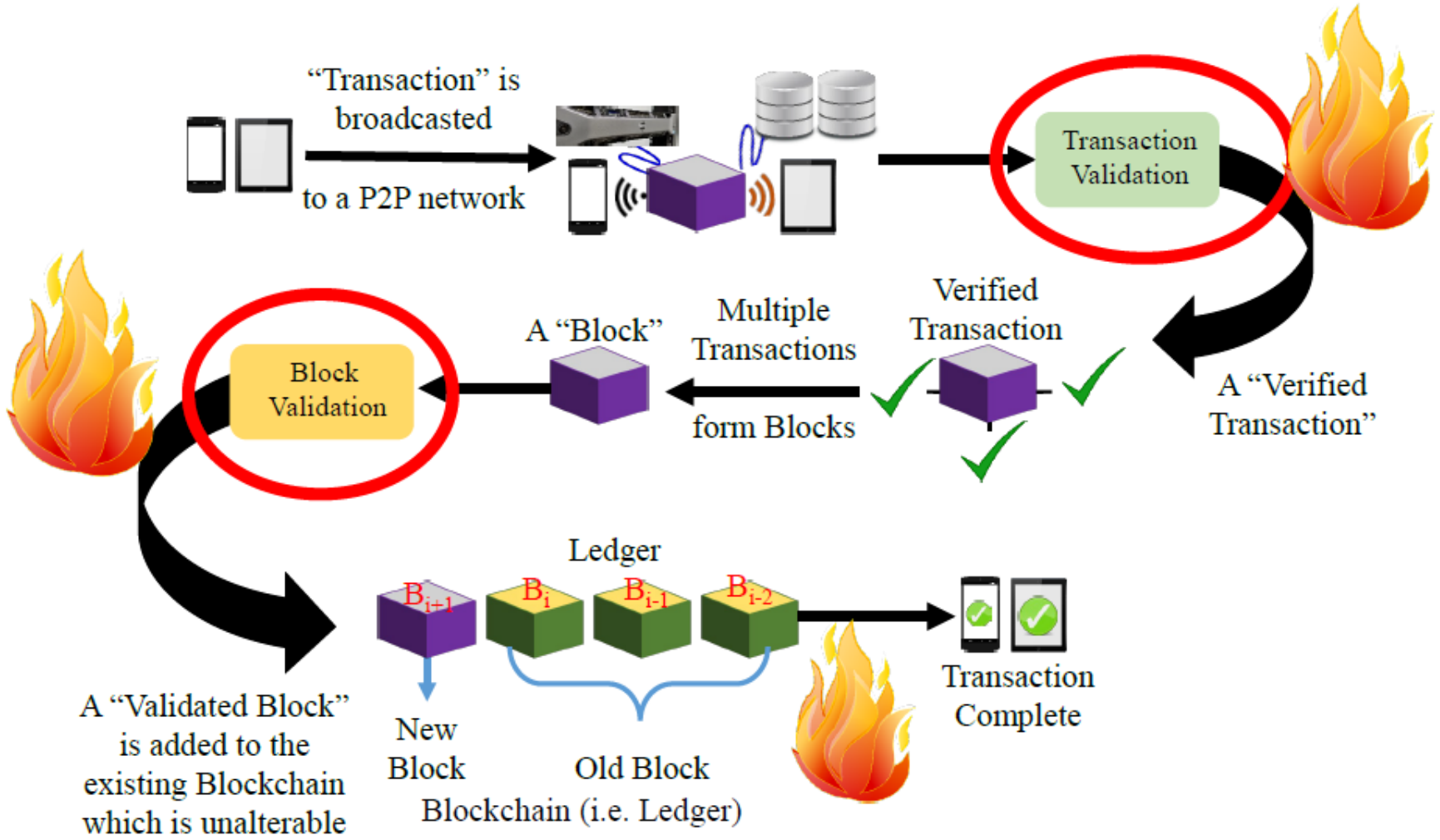
Blockchain has Many Challenges





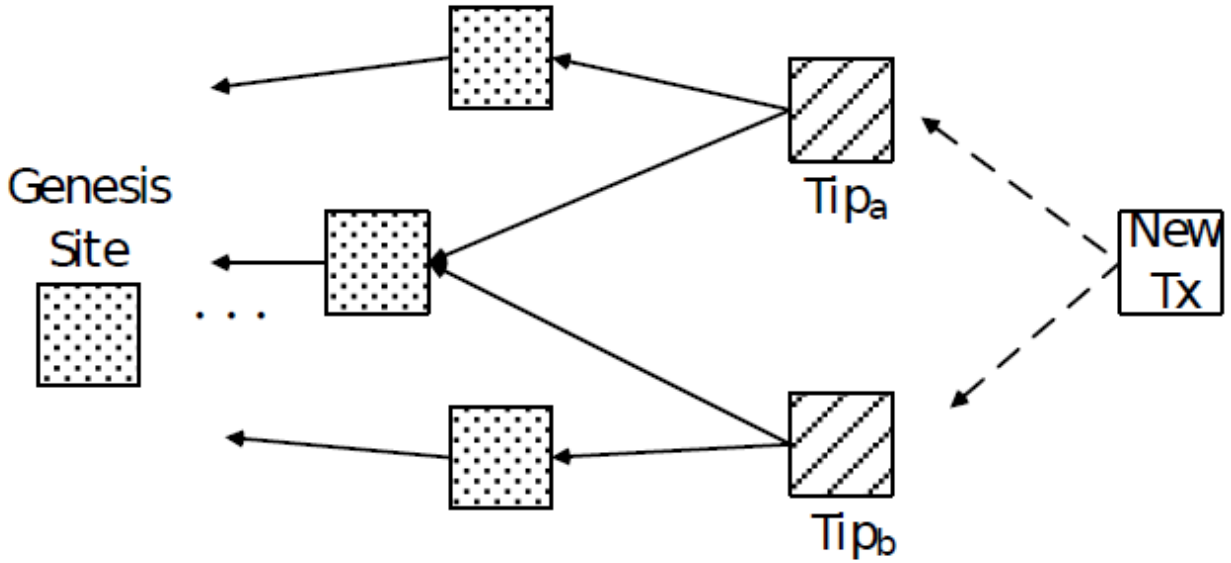
Source: D. Puthal, N. Malik, S. P. Mohanty, E. Kougianos, and G. Das, “Everything you Wanted to Know about the Blockchain”, *IEEE Consumer Electronics Magazine (CEM)*, Volume 7, Issue 4, July 2018, pp. 06--14.

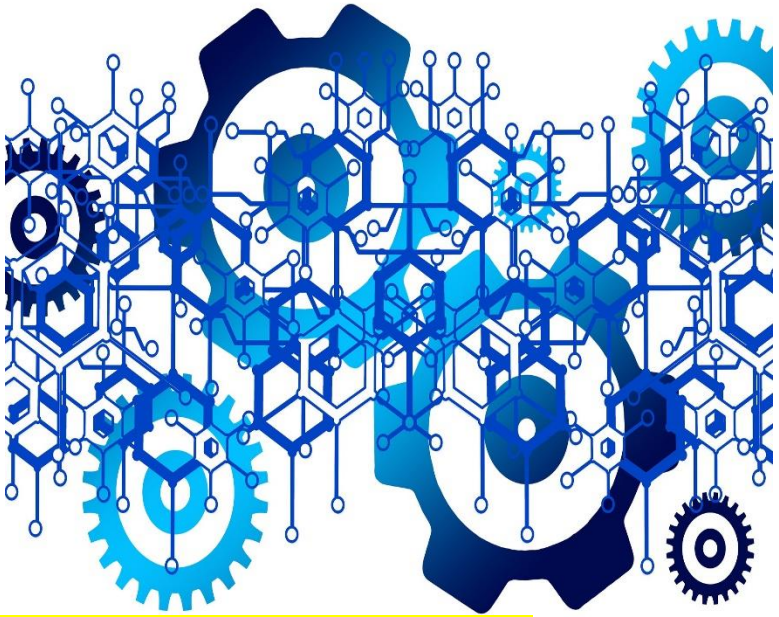
Block Validation and Addition Process



Source: D. Puthal, S. P. Mohanty, P. Nanda, E. Kougianos and G. Das, "Proof-of-Authentication for Scalable Blockchain in Resource-Constrained Distributed Systems," *2019 IEEE International Conference on Consumer Electronics (ICCE)*, 2019, pp. 1-5, doi: 10.1109/ICCE.2019.8662009.

Transaction Validation in IOTA Tangle

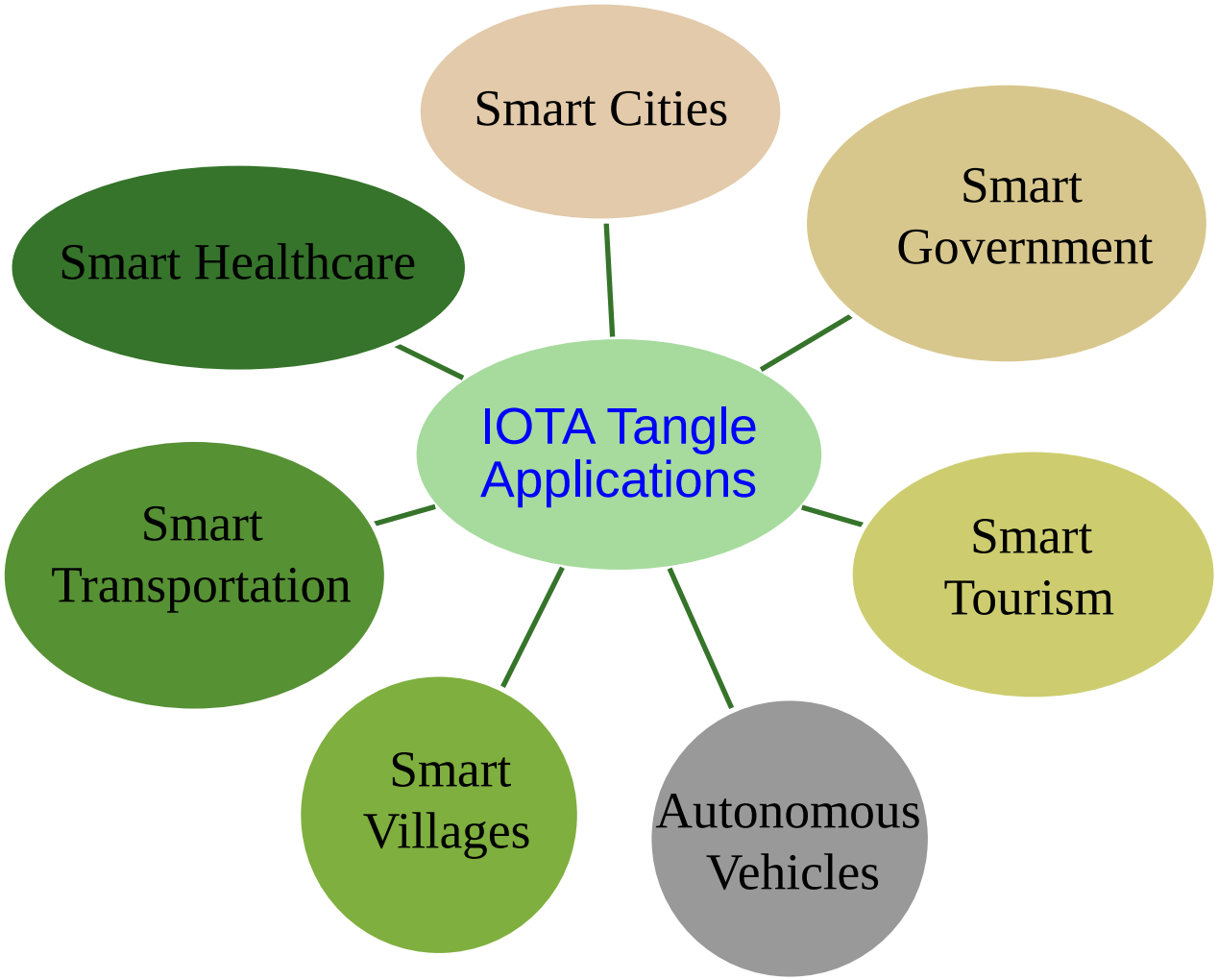




- Tips are unverified transactions in the Network
- Incoming transaction must validate tips to become part of Tangle Network

Source: F. Guo, X. Xiao, A. Hecker and S. Dustdar, "Characterizing IOTA Tangle with Empirical Data," *GLOBECOM 2020 - 2020 IEEE Global Communications Conference*, 2020, pp. 1-6, doi: 10.1109/GLOBECOM42002.2020.9322220.

Applications of IOTA Tangle

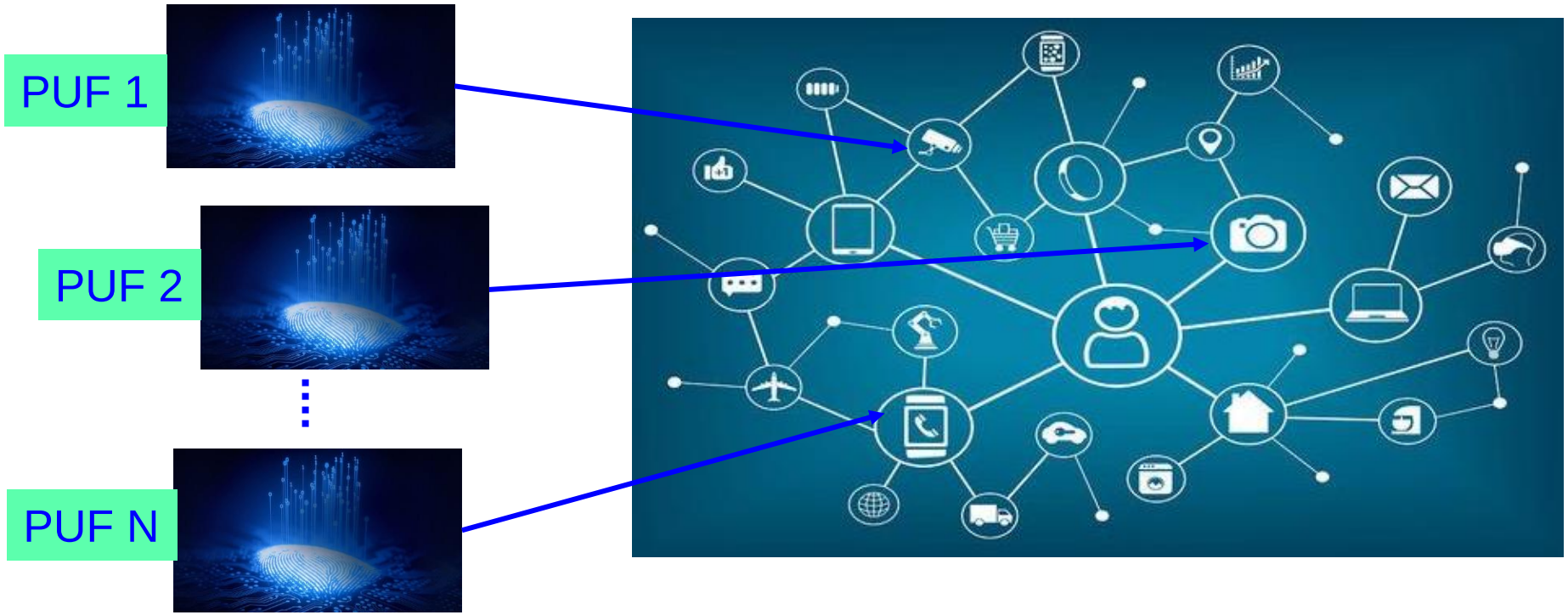


- Miner less and Fee less Distributed ledger technology
- Minimal amount of Proof of Work to negate the possibility of fraud transaction approval
- MAM Channel: A secure data communication protocol for IoT-b based applications

Novel Contributions

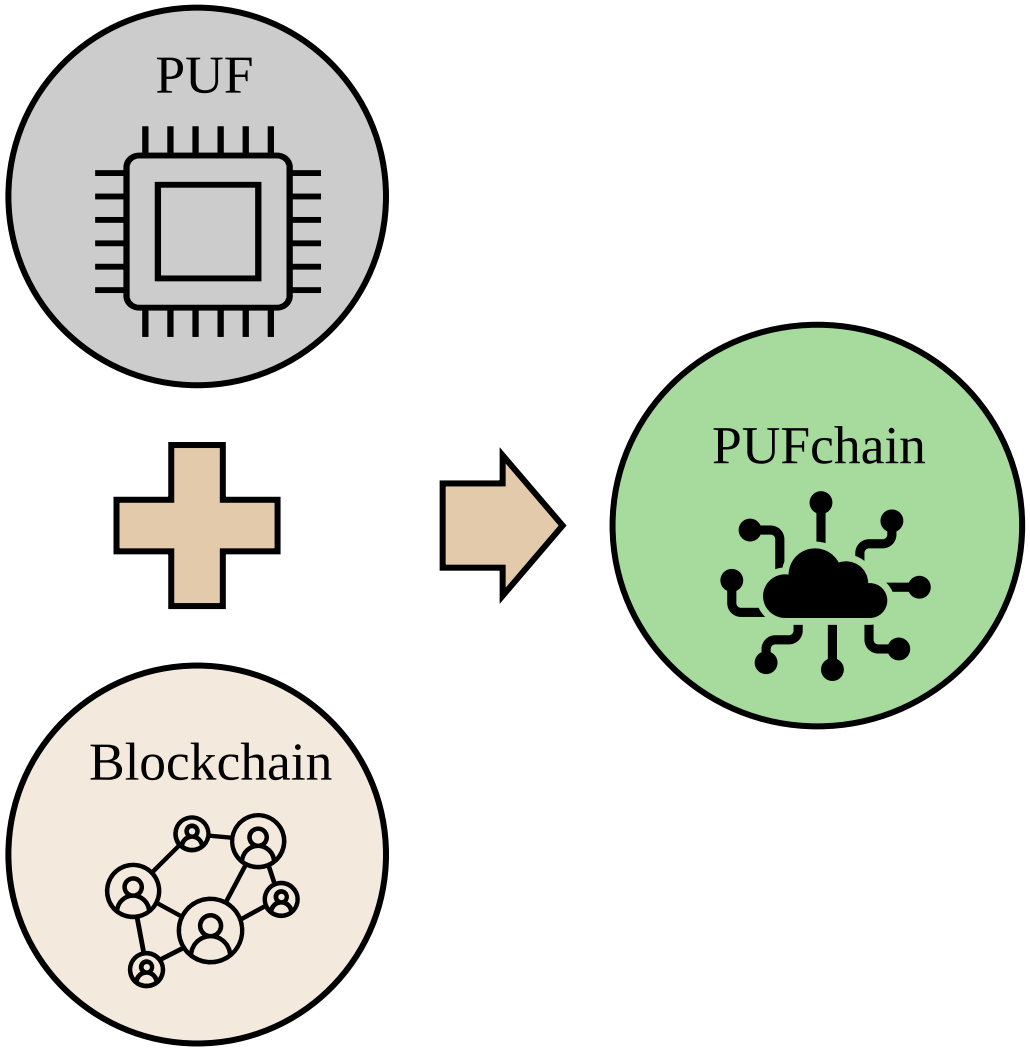
- Providing a miner-less, low-cost decentralized DLT for device authentication using PUFs and creating a secure channel for communicating IoMT data through MAM.
- A DLT that utilizes Proof of Work requires minimal computational resource requirements.
- A PUF-based security approach where a PUF module can be integrated inside wearable and implantable IoMT devices and can generate a unique device fingerprint.
- A system that doesn't require transaction fees and allows secure communication through MAM.
- A robust multi-level device authentication system for edge computing-driven SC.
- A sustainable security solution that works in the Restricted mode of MAM where an authorization key is created to restrict unauthorized access to the MAM channel.

We Proposed World's First Hardware-Integrated Blockchain (PUFchain) that is Scalable, Energy-Efficient, and Fast



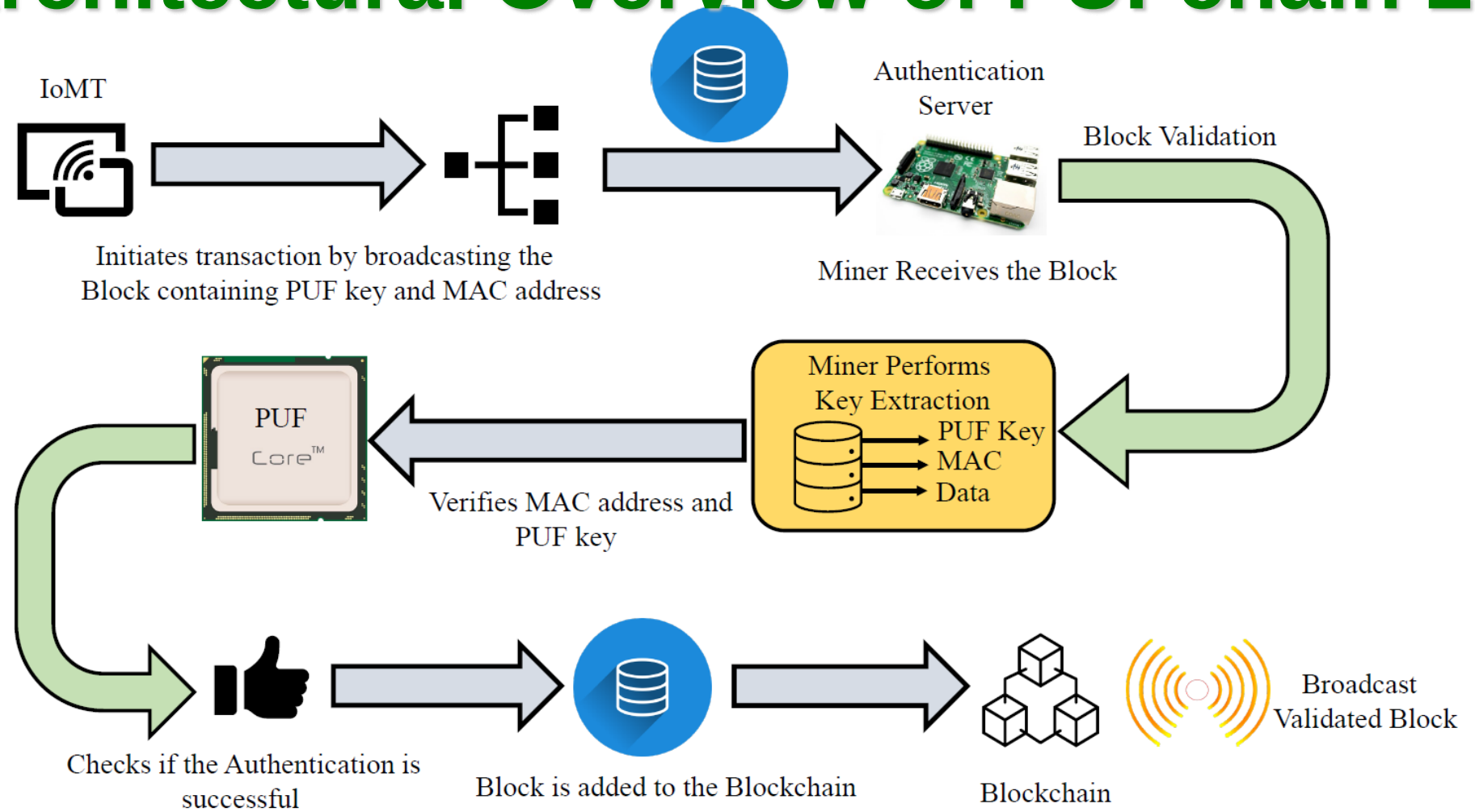
Source: S. P. Mohanty, V. P. Yanambaka, E. Kougianos, and D. Puthal, "PUFchain: Hardware-Assisted Blockchain for Sustainable Simultaneous Device and Data Security in Internet of Everything (IoE)", *IEEE Consumer Electronics Magazine (MCE)*, Vol. 9, No. 2, March 2020, pp. 8-16.

PUFchain – Another Way



Blockchain Technology is integrated with Physically Unclonable Functions such as PUFchain by storing the PUF Key in an immutable Blockchain

Architectural Overview of PUFchain 2.0



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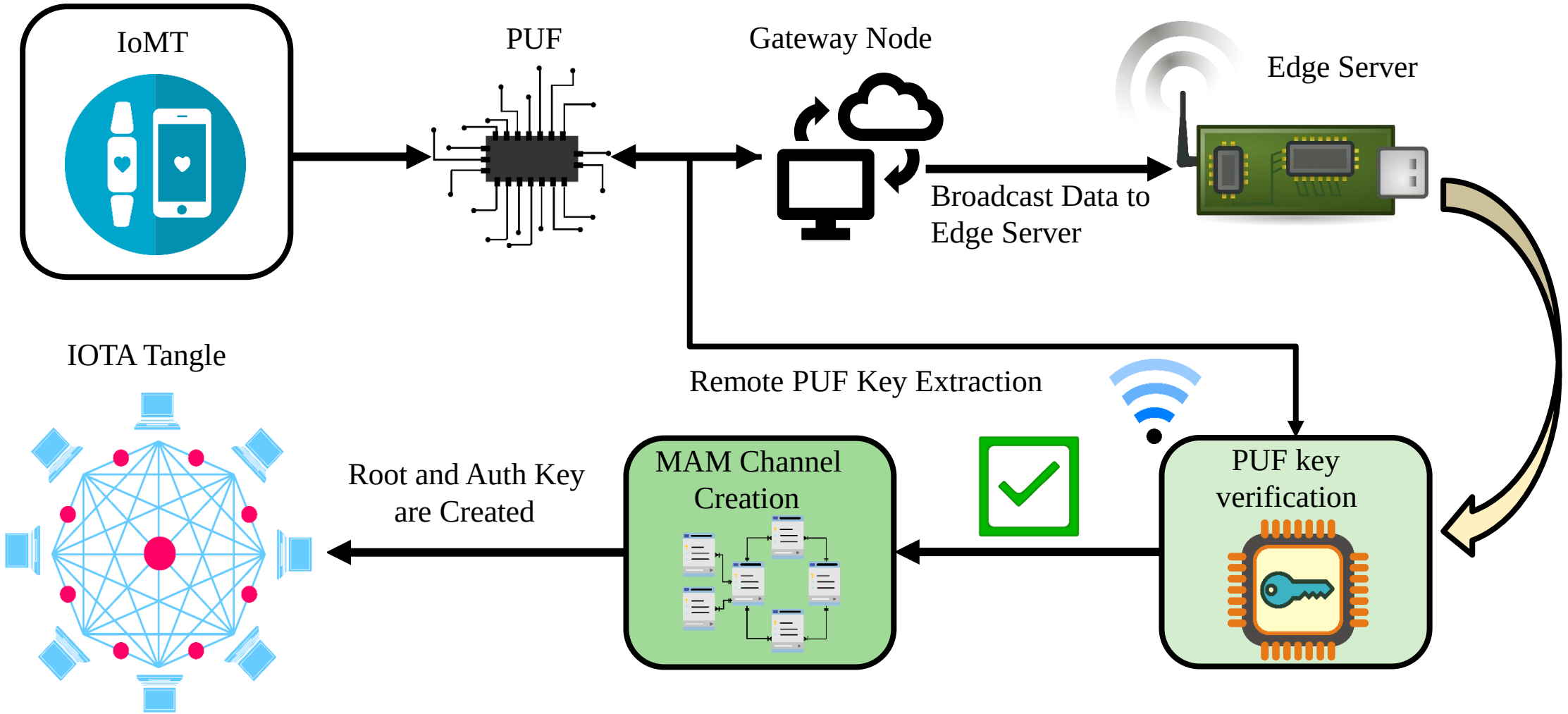
Architectural Overview of PUFchain 3.0

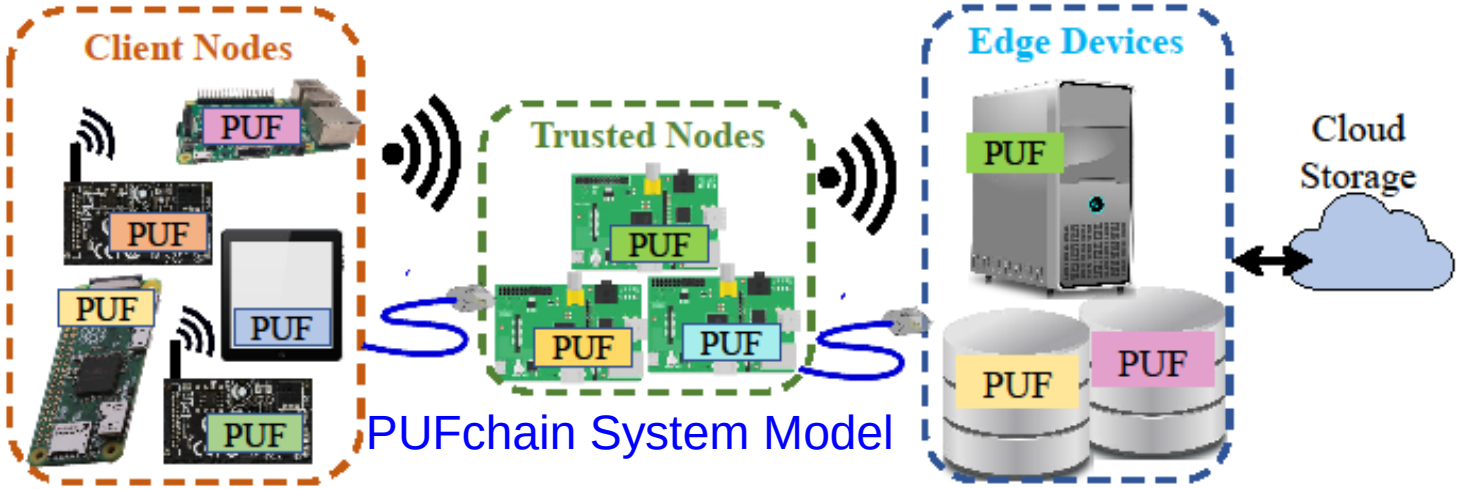


Related Prior Works

Research Works	Security Protocol	DLT	Area	Approach	Security Primitive
Chaudhary et.al [8]	Auto-PUFchain	IPFS	IC Traceability	Smart Contracts	HAS
Al-Joboury and Al-Hemiary [3]	PoQDB	Blockchain and Cobweb	IoT	MQTT	Data Security
Wang et.al [26]	Blockchain and PUF-Based based Authentication Protocol	Blockchain	Smart Healthcare	Smart Contracts	HAS
Hellani et al. [13]	Tangle the Blockchain	Blockchain and Tangle	IoT	Smart Contracts	Data Security
Bathalapalli et al. [5]	PUFchain 2.0	Blockchain	Smart Healthcare	Proof-of-PUF Enabled Authentication	HAS
PUFchain 3.0 (Current Paper)	**PUFchain 3.0**	IOTA Tangle	Smart Healthcare	MAM	HAS

PUFchain: Hardware-Assisted Robust Blockchain for Sustainable Simultaneous Device and Data Security in Smart Healthcare

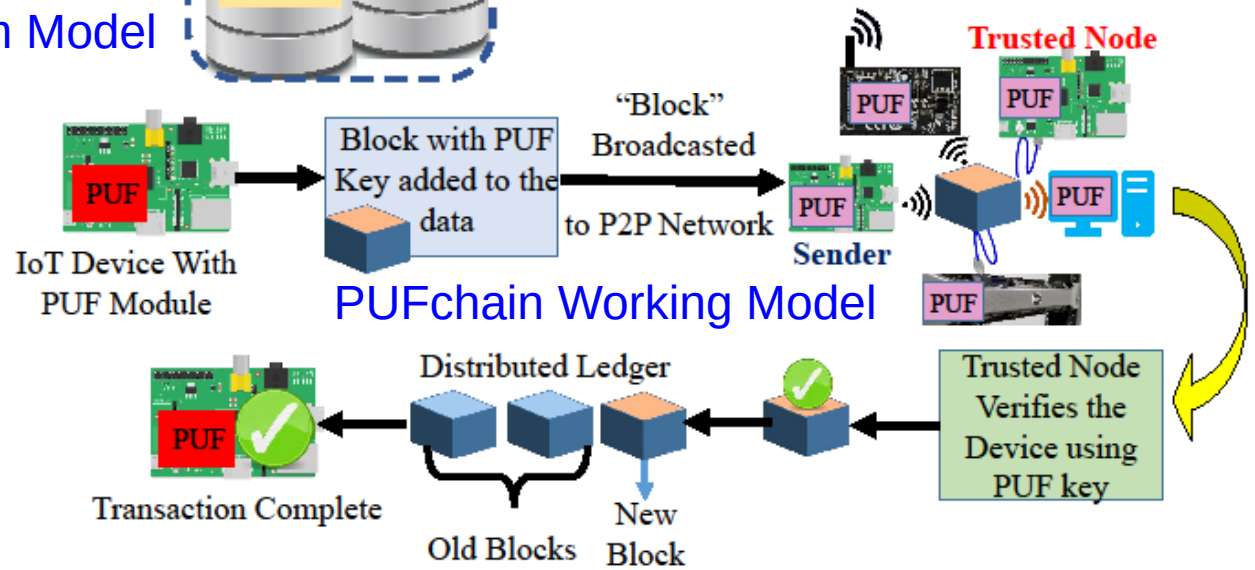
PUFchain: Our Hardware-Assisted Scalable Blockchain



Can provide:
Device, System, and Data Security

PUFChain 2 Modes:
(1) PUF Mode and
(2) PUFChain Mode

- ✓ PoP is 1,000X faster than PoW
- ✓ PoP is 5X faster than PoAh



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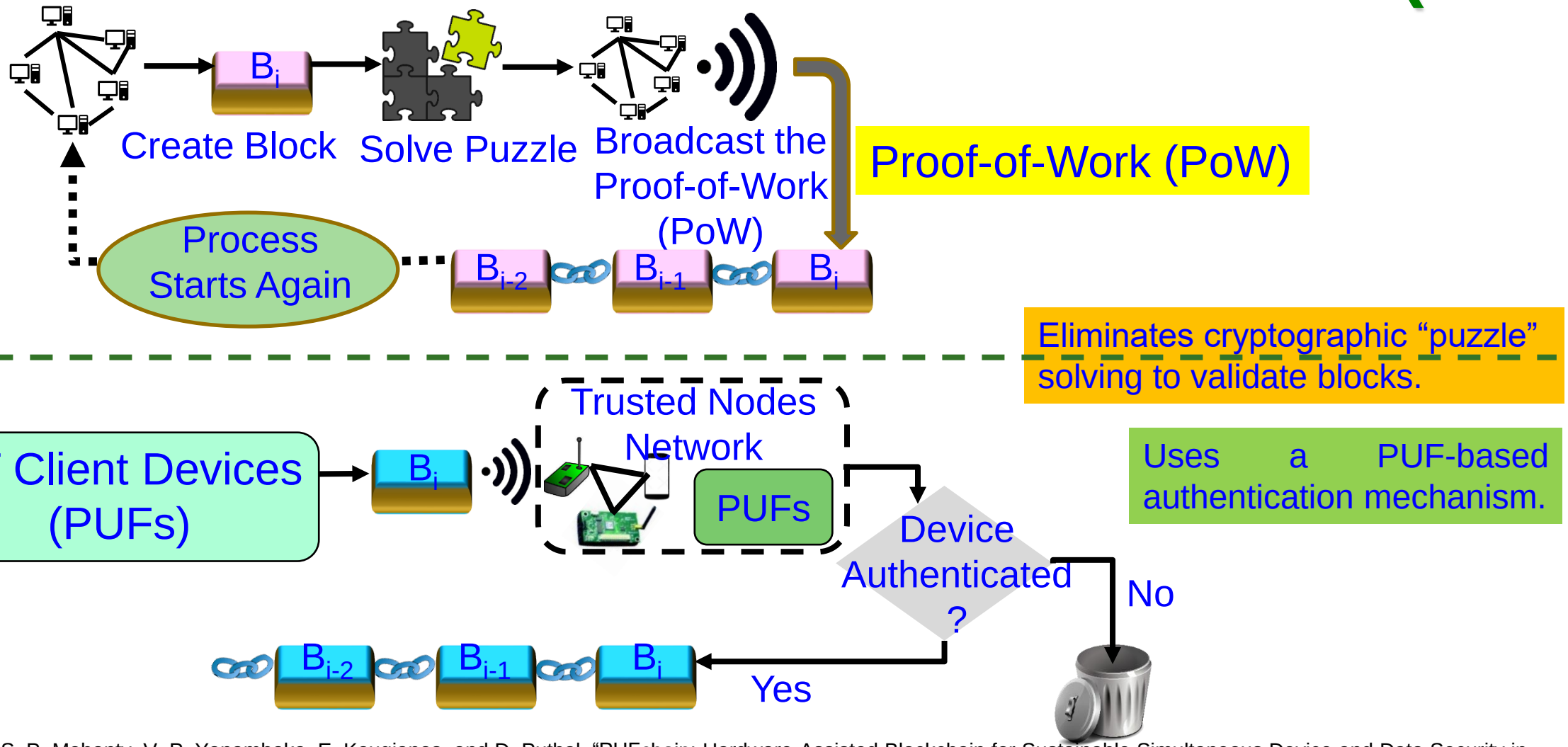
Our Proof-of-PUF-Enabled-Authentication (PoP)



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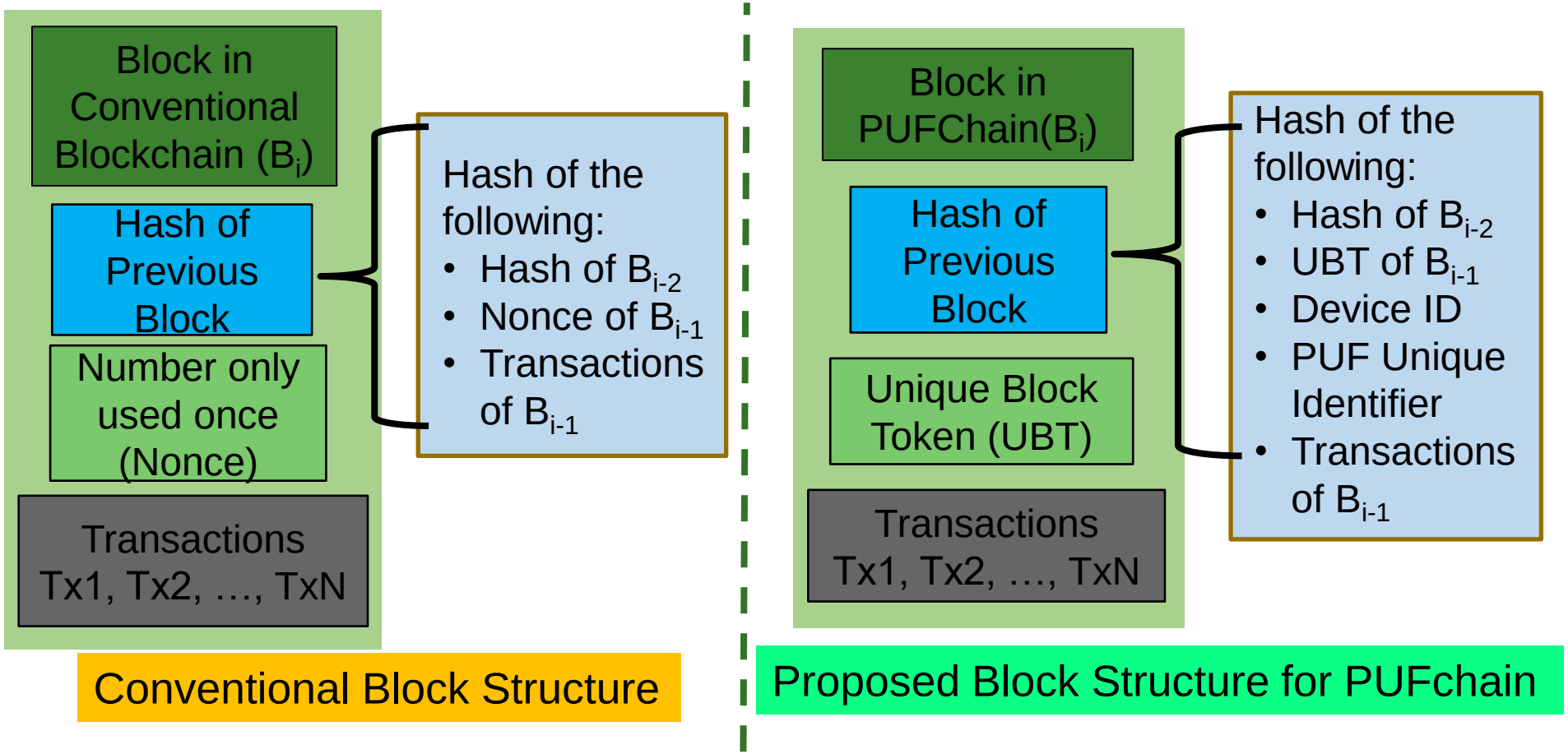
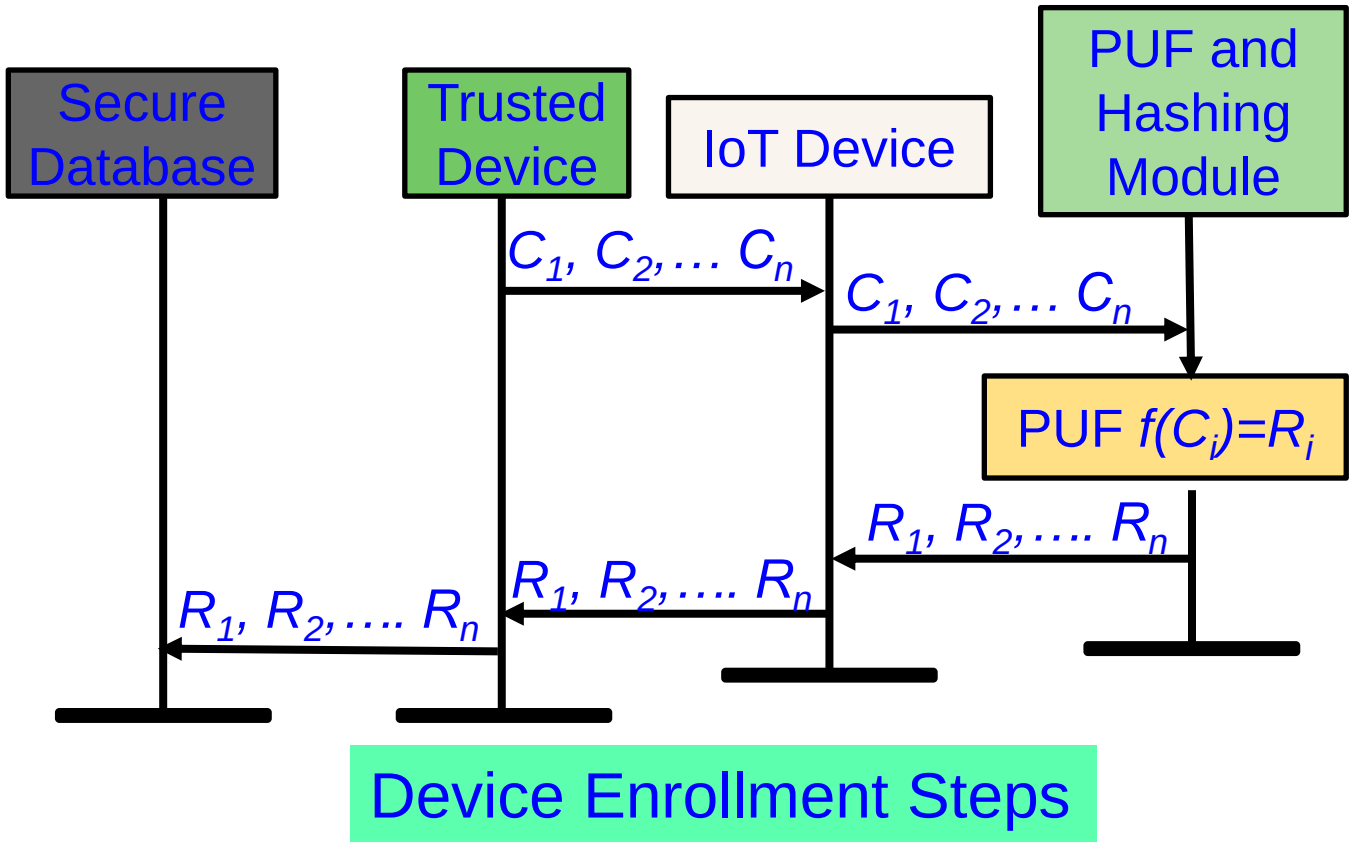
PUFchain: Proposed New Block Structure

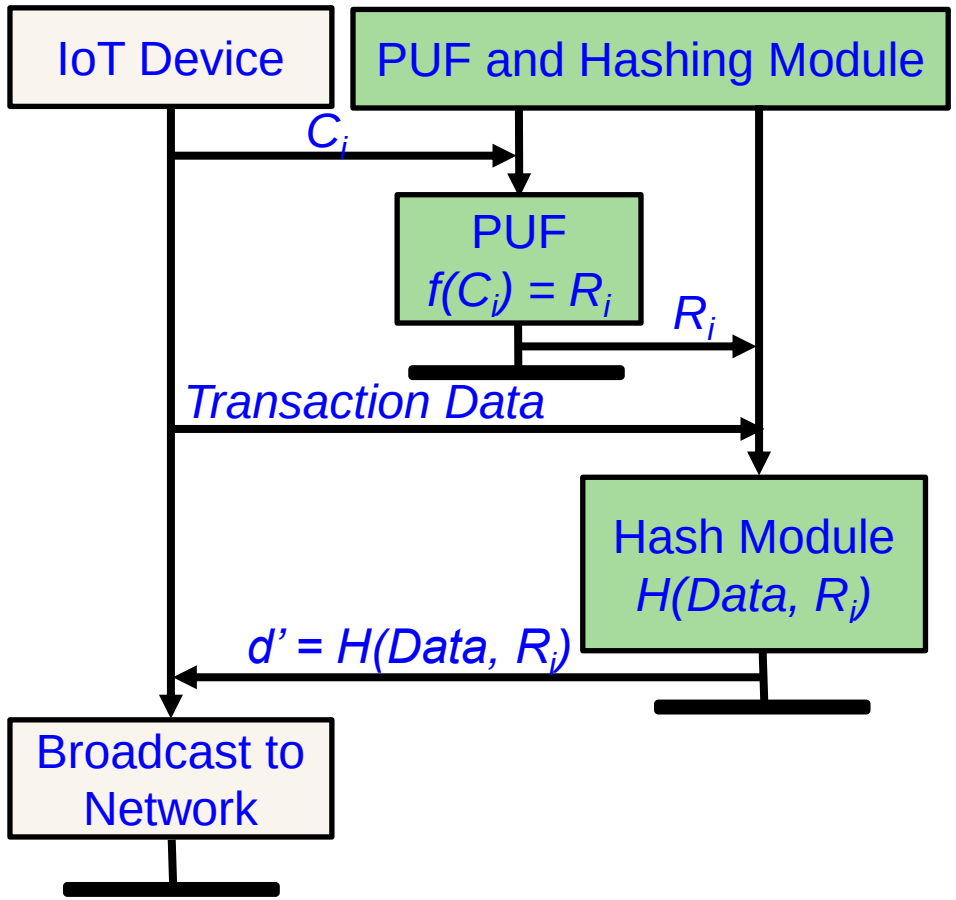


PUFchain: Device Enrollment Steps

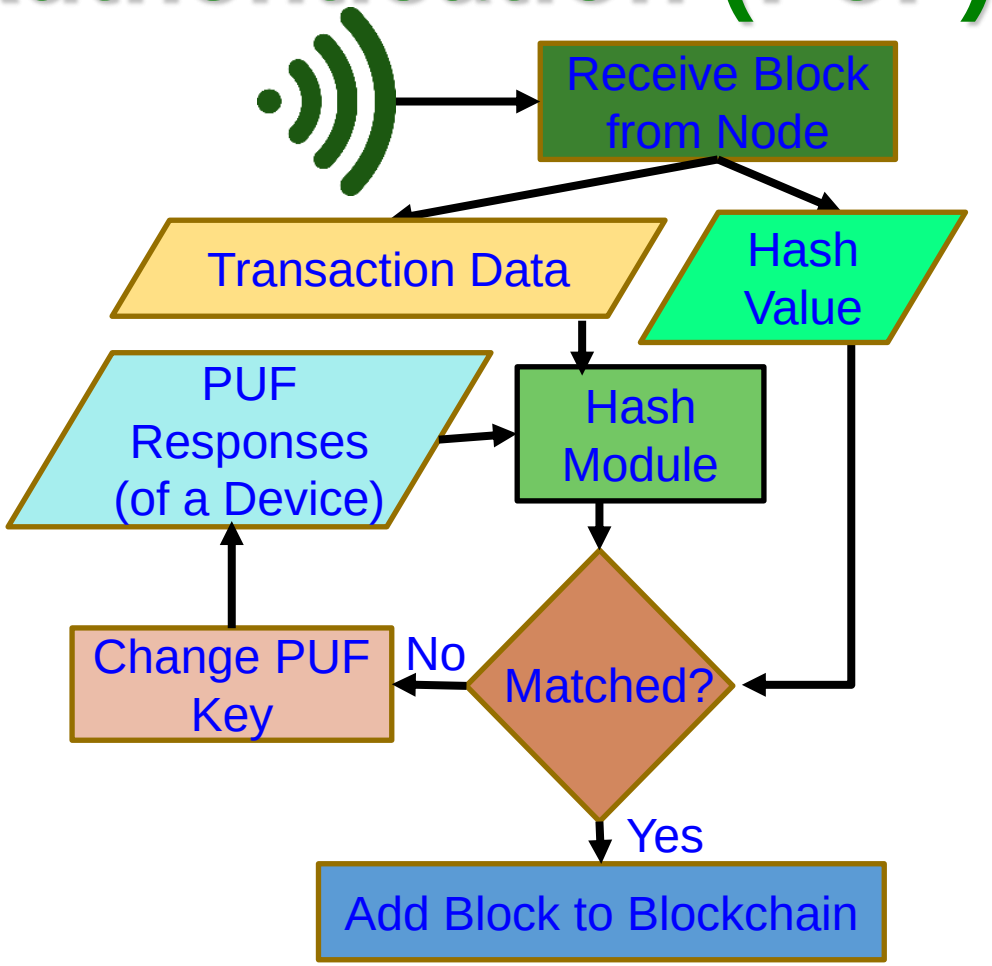


Source: S. P. Mohanty, V. P. Yanambaka, E. Kougianos, and D. Puthal, "PUFchain: Hardware-Assisted Blockchain for Sustainable Simultaneous Device and Data Security in Internet of Everything (IoE)", *IEEE Consumer Electronics Magazine (MCE)*, Vol. 9, No. 2, March 2020, pp. in Press.

Proof-of-PUF-Enabled-Authentication (PoP)



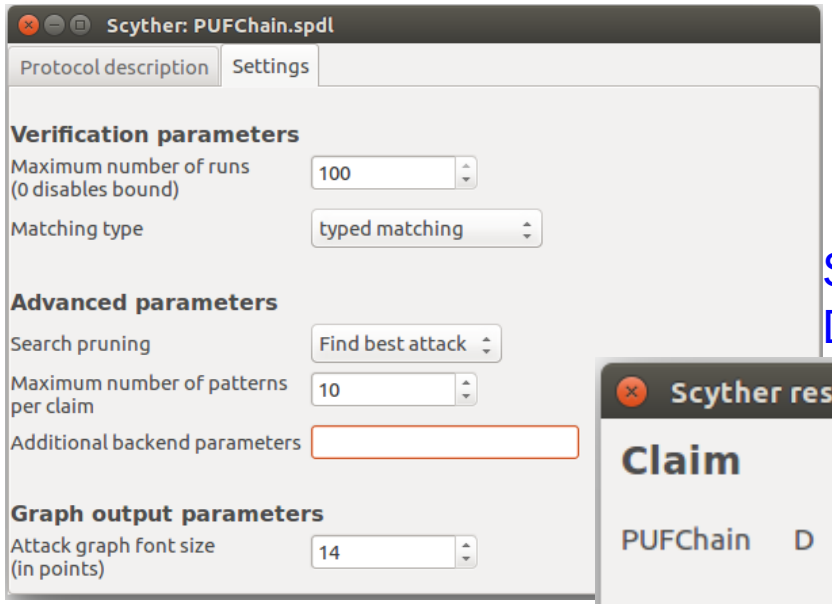
Steps for Transactions Initiation



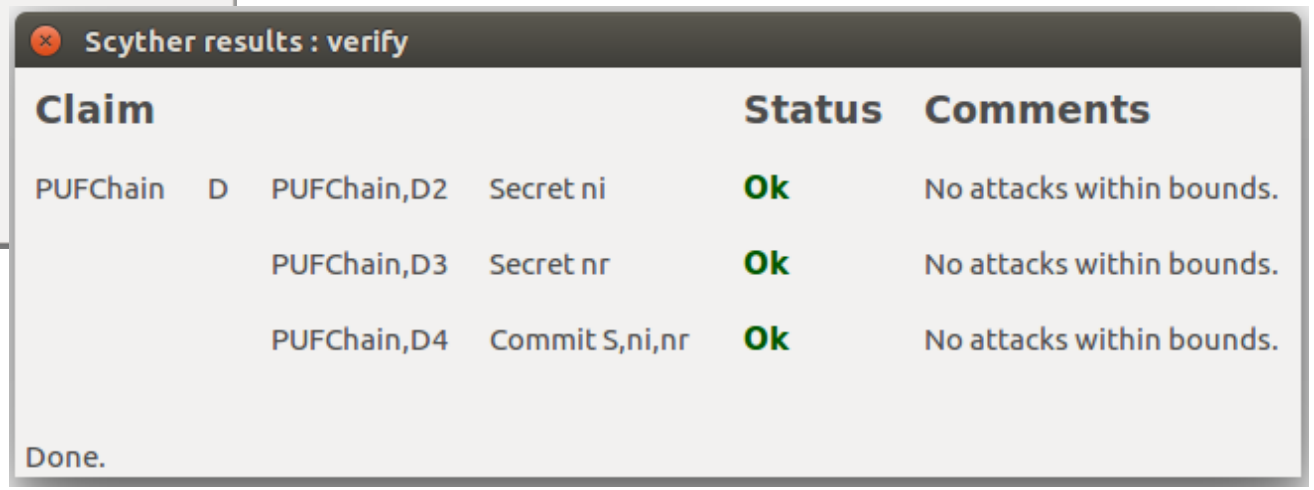
Steps for Device Authentication

Source: S. P. Mohanty, V. P. Yanambaka, E. Kougianos, and D. Puthal, "PUFchain: Hardware-Assisted Blockchain for Sustainable Simultaneous Device and Data Security in Internet of Everything (IoE)", *IEEE Consumer Electronics Magazine (MCE)*, Vol. 9, No. 2, March 2020, pp. 8-16.

PUFchain Security Validation



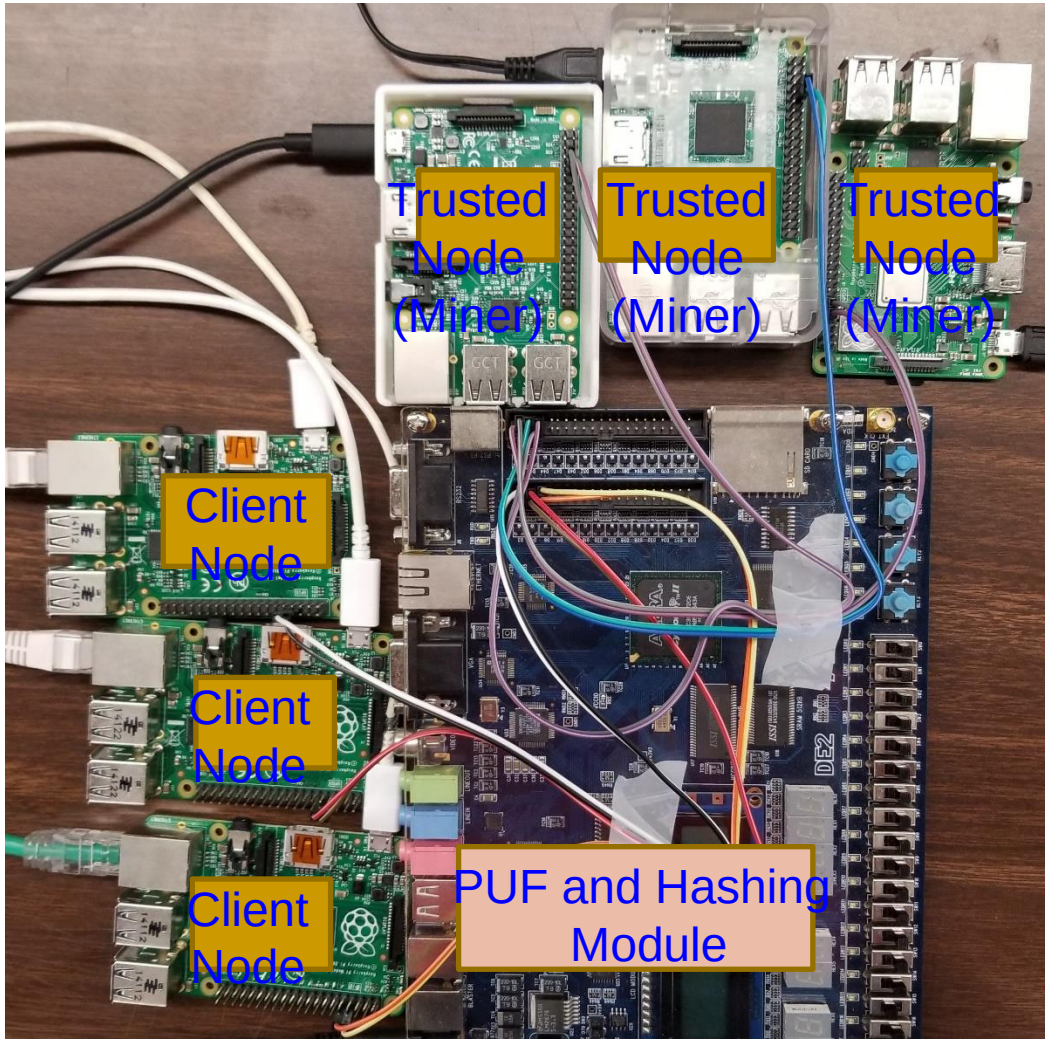
S - the source of the block
D - the miner or authenticator node in the networks



PUFchain Security Verification in Scyther simulation environment proves that PUFChain is secure against potential network threats.

Source: S. P. Mohanty, V. P. Yanambaka, E. Kougianos, and D. Puthal, "PUFchain: Hardware-Assisted Blockchain for Sustainable Simultaneous Device and Data Security in Internet of Everything (IoE)", *IEEE Consumer Electronics Magazine (MCE)*, Vol. 9, No. 2, March 2020, pp. 8-16.

Our PoP is 1000X Faster than PoW



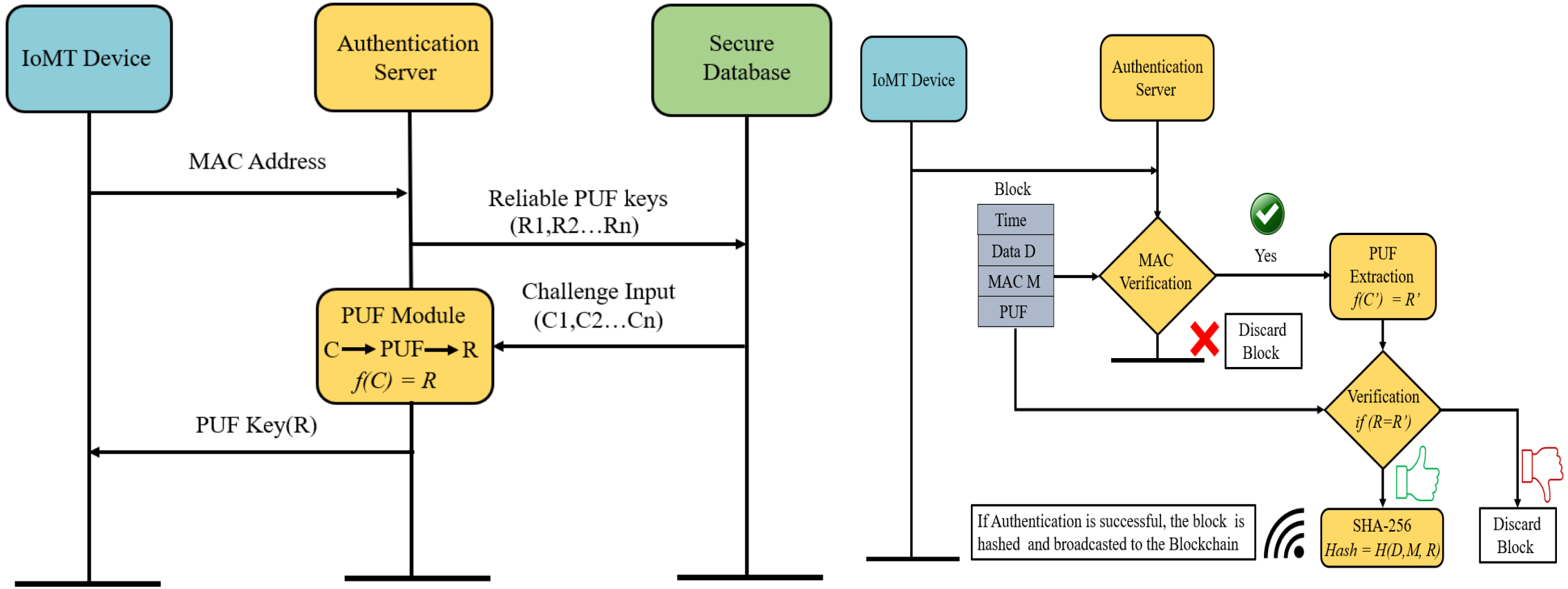
PoW - 10 min in cloud	PoAh – 950ms in Raspberry Pi	PoP - 192ms in Raspberry Pi
High Power	3 W Power	5 W Power

- ✓ PoP is 1,000X faster than PoW
- ✓ PoP is 5X faster than PoAh

Source: S. P. Mohanty, V. P. Yanambaka, E. Kougianos, and D. Puthal, "PUFchain: Hardware-Assisted Blockchain for Sustainable Simultaneous Device and Data Security in Internet of Everything (IoE)", *IEEE Consumer Electronics Magazine (MCE)*, Vol. 9, No. 2, March 2020, pp. 8-16.

PUFchain 2.0: Hardware-Assisted Robust Blockchain for Sustainable Simultaneous Device and Data Security in Smart Healthcare

PUFchain 2.0 Enrollment and Authentication



Source:V. K. V. V. Bathalapalli, **S. P. Mohanty**, E. Kougianos, B. K. Baniya, and B. Rout, "PUFchain 2.0: Hardware-Assisted Robust Blockchain for Sustainable Simultaneous Device and Data Security in Smart Healthcare", *Springer Nature Computer Science (SN-CS)*, Vol. 3, No. 5, Sep 2022, Article: 344, 19-pages, DOI: https://doi.org/10.1007/s42979-022-01238-2.

Implementation and Validation of PUFchain 2.0

Shell

```
Python 3.7.3 (/usr/bin/python3)
>>> %Run PUFchain_Client_1.py
UDP target IP: 192.168.1.189
UDP target Port: 12345
dc:a6:32:c8:d7:50
0110010001100100011001000110010001100100011001000110010001100100
0110010001100100011001000110010001100100011001000110010001100100
['1645424009.6885517', '23.5', 'dc:a6:32:c8:d7:50', '0110010001100100011001000110010001100100011001000110010001
110010001100100']
["'1645424009.6885517'", "'23.5'", 'dc:a6:32:c8:d7:50', "'0110010001100100011001000110010001100100011001000110
01000110010001100100'"]
Validated Block is:
["'1645424009.6885517'", "'23.5'", 'dc:a6:32:c8:d7:50', "'0110010001100100011001000110010001100100011001000110
01000110010001100100'", '5258e870c1ce3466d240b740c29576dd616827cb36d6d563b7f66b71485303ef', 'c040ad863
53466df832c4474bdfa2852d417bed277937f0b3298f71056de4a3a']
Time taken to add the Block to the Blockchain
0.3086230754852295
```

Shell

```
>>> %Run PUFchain2_Server.py
Waiting for client...
Given Encrypted Message: b'mlCj?C<;Ck?CjBCjj'  from ('192.168.1.104', 37298)
Waiting for client...
Message after decryption: dc:a6:32:b6:a9:aa
dc:a6:32:b6:a9:aa
1001000110010001100100011001000110010001100100011001000110010001
[74, 81, 54, 71, 84, 11, 3, 77]
['1645429578.71013' '24.6' 'dc:a6:32:b6:a9:aa' '1001000110010001100100011001000110010001100100011001000110010001']
'1001000110010001100100011001000110010001100100011001000110010001'
1001000110010001100100011001000110010001100100011001000110010001
Device is Authenticated
'1645429578.71013''24.6''dc:a6:32:b6:a9:aa''1001000110010001100100011001000110010001100100011001000110010001'595b521744857db0951b4fd679f038570f80492da2d731aa729eed
bf0efa63a7
["'1645429578.71013'", "'24.6'", "'dc:a6:32:b6:a9:aa'", "'1001000110010001100100011001000110010001100100011001000110010001'", 'e29a368bc110472496afc9ba4b5a1a5c7dbd
beaf4a9cb405c6b863fa83dbaa13', '595b521744857db0951b4fd679f038570f80492da2d731aa729eedbf0efa63a7']
Time taken to add the Block to the Blockchain
3.6917524337768555
>>>
```

Source:V. K. V. V. Bathalapalli, **S. P. Mohanty**, E. Kougianos, B. K. Baniya, and B. Rout, "PUFchain 2.0: Hardware-Assisted Robust Blockchain for Sustainable Simultaneous Device and Data Security in Smart Healthcare", *Springer Nature Computer Science (SN-CS)*, Vol. 3, No. 5, Sep 2022, Article: 344, 19-pages, DOI: https://doi.org/10.1007/s42979-022-01238-2.

PUFchain 2.0 Results

Table: PROOJECT

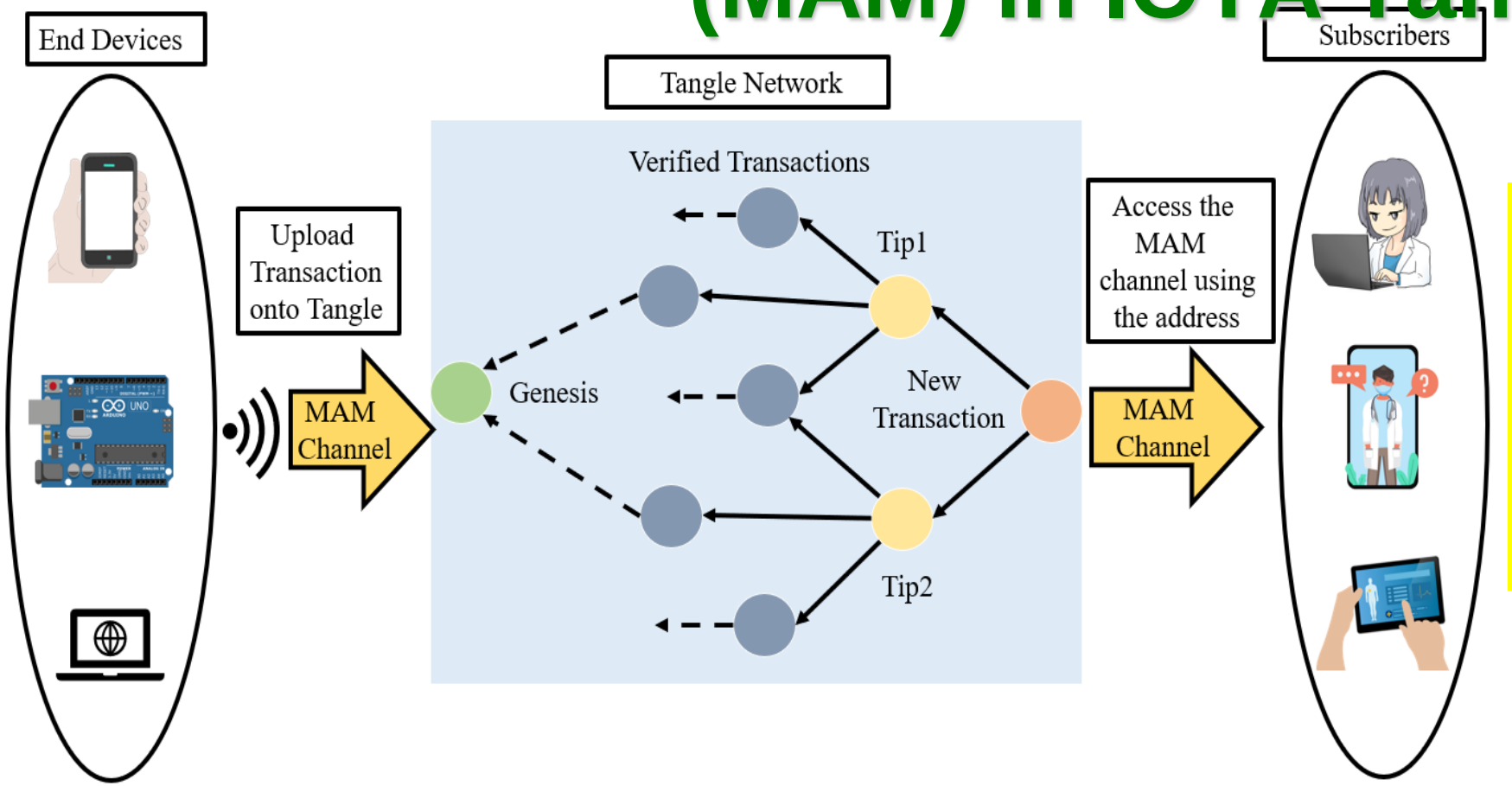
	Time	Temperature	MAC	PUF	hash	id
	Filter	Filter	Filter	Filter	Filter	Filter
491	'164542358...	'23.5'	'dc:a6:32:c...	'011001000...	a8609d84a...	bbdb09358f...
492	'164542400...	'23.5'	'dc:a6:32:c...	'011001000...	f1cb3b914c...	a8609d84a...
493	'164542425...	'24.6'	'dc:a6:32:b...	'011001000...	4993cd538...	f1cb3b914c...
494	'164542431...	'23.5'	'dc:a6:32:c...	'011001000...	5c51a406e...	4993cd538...
495	'164542432...	'23.5'	'dc:a6:32:c...	'011001000...	b52392032...	5c51a406e...
496	'164542436...	'23.5'	'dc:a6:32:c...	'011001000...	8b3aea799...	b52392032...
497	'164542939...	'24.6'	'dc:a6:32:b...	'100100011...	6e95ad295...	8b3aea799...
498	'164542941...	'24.6'	'dc:a6:32:b...	'100100011...	70ddb5c7fe...	6e95ad295...
499	'164542943...	'24.6'	'dc:a6:32:b...	'100100011...	8baf2d2b68...	70ddb5c7fe...
500	'164542956...	'24.6'	'dc:a6:32:b...	'100100011...	595b52174...	8baf2d2b68...
501	'164542957...	'24.6'	'dc:a6:32:b...	'100100011...	e29a368bc...	595b52174...
502	'164542975...	'24.6'	'dc:a6:32:b...	'100100011...	0ed1b03d1...	e29a368bc...
503	'164542979...	'24.6'	'dc:a6:32:b...	'100100011...	cf66a49c17...	0ed1b03d1...
504	'164542983...	'24.6'	'dc:a6:32:b...	'100100011...	4aa649f57e...	cf66a49c17...
505	'164543086...	'24.6'	'dc:a6:32:b...	'100100011...	98c15369e...	4aa649f57e...
506	'164543087...	'24.6'	'dc:a6:32:b...	'100100011...	57a40602c...	98c15369e...
507	'164543088...	'24.6'	'dc:a6:32:b...	'100100011...	203eff57fac...	57a40602c...
508	'164543089...	'24.6'	'dc:a6:32:b...	'100100011...	b4945b251...	203eff57fac...
509	'164543089...	'24.6'	'dc:a6:32:b...	'100100011...	25e41c514...	b4945b251...
510	'164543090...	'24.6'	'dc:a6:32:b...	'100100011...	76cfb52fec...	25e41c514...
511	'164543091...	'24.6'	'dc:a6:32:b...	'100100011...	ce357cd16...	76cfb52fec...
512	'164543092...	'24.6'	'dc:a6:32:b...	'100100011...	d55132425...	ce357cd16...
513	'164543093...	'24.6'	'dc:a6:32:b...	'100100011...	895a199ffa...	d55132425...
514	'164543095...	'24.6'	'dc:a6:32:b...	'100100011...	f957d0ed92...	895a199ffa...
515	'164543107...	'24.6'	'dc:a6:32:b...	'100100011...	797ea49b2...	f957d0ed92...
516	'164543108...	'24.6'	'dc:a6:32:b...	'100100011...	b73abae5e...	797ea49b2...

	Time	Temperature	MAC	PUF	hash	id
	Filter	Filter	Filter	Filter	Filter	Filter
28	'1644686449.9660056'	'23.5'	dc:a6:32:c8:d7:50	'10000011100000111000001110000011...	b38f4e2c81e0351546d2acd389644b2e87...	ab884ea51eac38cd7d5603c08630cbf0545...
29	'1644686593.6336515'	'23.5'	dc:a6:32:c8:d7:50	'10000011100000111000001110000011...	d3f44a110cd592d483c41ac1ecddebdce0e...	b38f4e2c81e0351546d2acd389644b2e87...
30	'1644686603.9765272'	'23.5'	dc:a6:32:c8:d7:50	'10000011100000111000001110000011...	0882092393b4ae5eb9ce15dd01e6773bea...	d3f44a110cd592d483c41ac1ecddebdce0e...
31	'1644686614.4211583'	'23.5'	dc:a6:32:c8:d7:50	'10000011100000111000001110000011...	6e28f0f930495f2510ad2e5fade3be8207f1...	0882092393b4ae5eb9ce15dd01e6773bea...
32	'1644686624.865872'	'23.5'	dc:a6:32:c8:d7:50	'10000011100000111000001110000011...	de6b884ba48915127ef8ec59d0eb903e2cf...	6e28f0f930495f2510ad2e5fade3be8207f1...
33	'1644686645.9601705'	'23.5'	dc:a6:32:c8:d7:50	'10000011100000111000001110000011...	62d4069859edfa3713be78b94507fbf2b6b...	de6b884ba48915127ef8ec59d0eb903e2cf...
34	'1644686656.4047632'	'23.5'	dc:a6:32:c8:d7:50	'10000011100000111000001110000011...	80eb16b5f1f5f59097dffeb6c2c9800058c0f...	62d4069859edfa3713be78b94507fbf2b6b...
35	'1644686666.849594'	'23.5'	dc:a6:32:c8:d7:50	'10000011100000111000001110000011...	ae28a86fca44f7898ee0a64c25d84fffcc6b...	80eb16b5f1f5f59097dffeb6c2c9800058c0f...
36	'1644686677.294728'	'23.5'	dc:a6:32:c8:d7:50	'10000011100000111000001110000011...	28a4d2ea2e6d05bb5550b29e86f1d2eca9...	ae28a86fca44f7898ee0a64c25d84fffcc6b...
37	'1644686687.739273'	'23.5'	dc:a6:32:c8:d7:50	'10000011100000111000001110000011...	5e64d348f57353e92d2aa9ef09e2d3cd9b3...	28a4d2ea2e6d05bb5550b29e86f1d2eca9...
38	'1644686708.6280165'	'23.5'	dc:a6:32:c8:d7:50	'10000011100000111000001110000011...	f14b596a9741684cd42137569afb9cc9ffa9...	5e64d348f57353e92d2aa9ef09e2d3cd9b3...
39	'1644686719.0736935'	'23.5'	dc:a6:32:c8:d7:50	'10000011100000111000001110000011...	70b906e51c0d0eb9174c0438e320365440...	f14b596a9741684cd42137569afb9cc9ffa9...
40	'1644686841.1356113'	'23.5'	dc:a6:32:c8:d7:50	'10000011100000111000001110000011...	b318c9a9c5d6ae591ac48d37e57d40fcbc1...	70b906e51c0d0eb9174c0438e320365440...

Source:V. K. V. V. Bathalapalli, **S. P. Mohanty**, E. Kougianos, B. K. Baniya, and B. Rout, "PUFchain 2.0: Hardware-Assisted Robust Blockchain for Sustainable Simultaneous Device and Data Security in Smart Healthcare", *Springer Nature Computer Science (SN-CS)*, Vol. 3, No. 5, Sep 2022, Article: 344, 19-pages, DOI: https://doi.org/10.1007/s42979-022-01238-2.

PUFchain 3.0: Hardware-Assisted Distributed Ledger for Robust Authentication in the Internet of Medical Things

Masked Authentication Messaging (MAM) in IOTA Tangle



- Provides Device and Data security in IoT
- Works in Three modes: Public, Private and Restricted

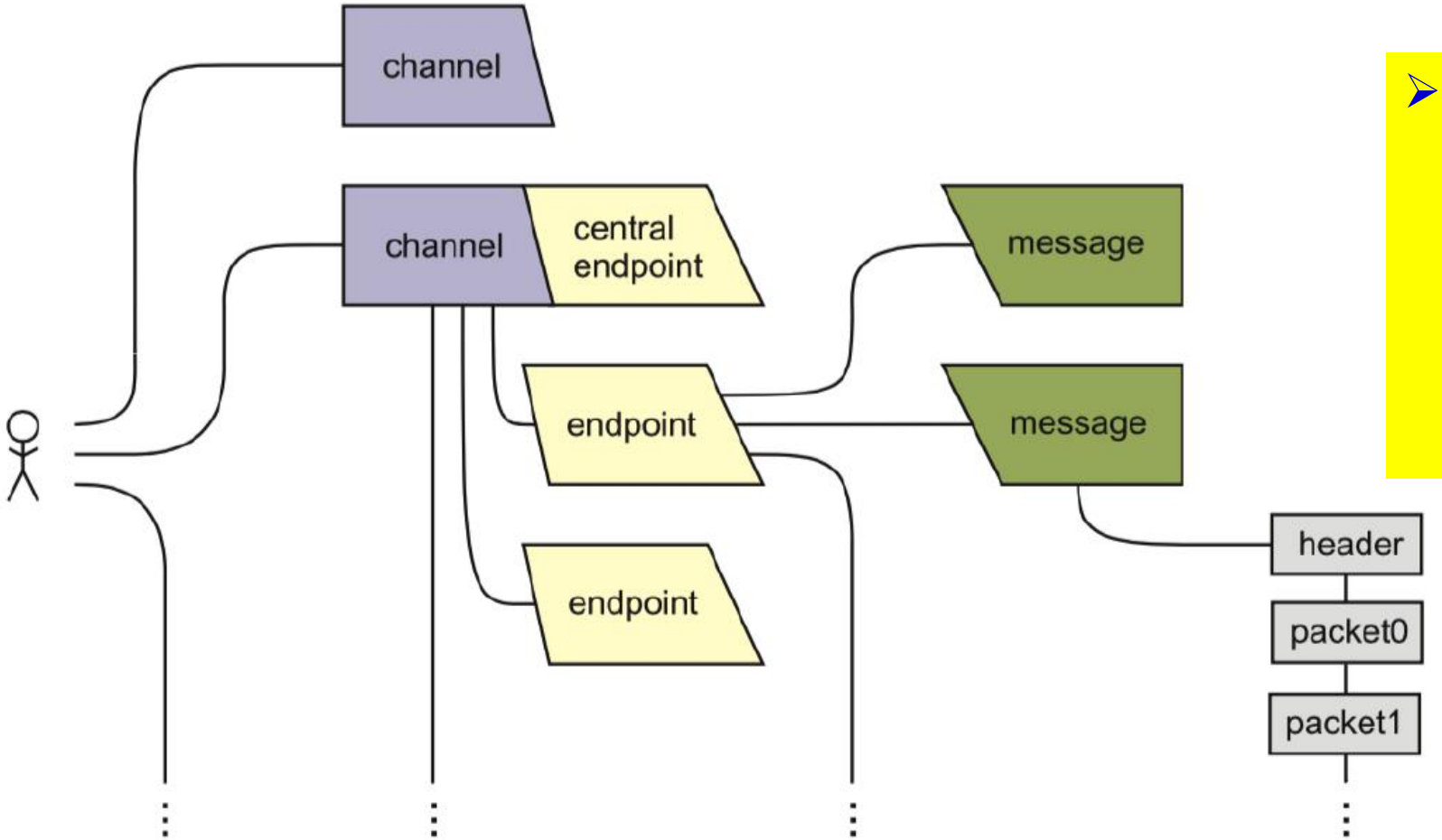
MAM Modes

***Public Mode*:** In Public mode, the IoT device which is the source collects the data and uploads it onto Tangle. A MAM channel with an address is generated for the secure exchange of information. The address of the channel will be the root of the Merkle Tree. The subsequent transaction must be submitted to the MAM channel using this fetched root.

***Private Mode*:** For applications requiring privacy and confidentiality, as in the case of health record management, the root of the Merkle tree is hashed and the obtained hash is used as the address of the channel to publish and access the data.

***Restricted Mode*:** The restricted mode of MAM works by using a channel Authorization key or Side key along with the Merkle root. The address of the channel for the next transaction is generated by computing the hash of the Merkle root and side key.

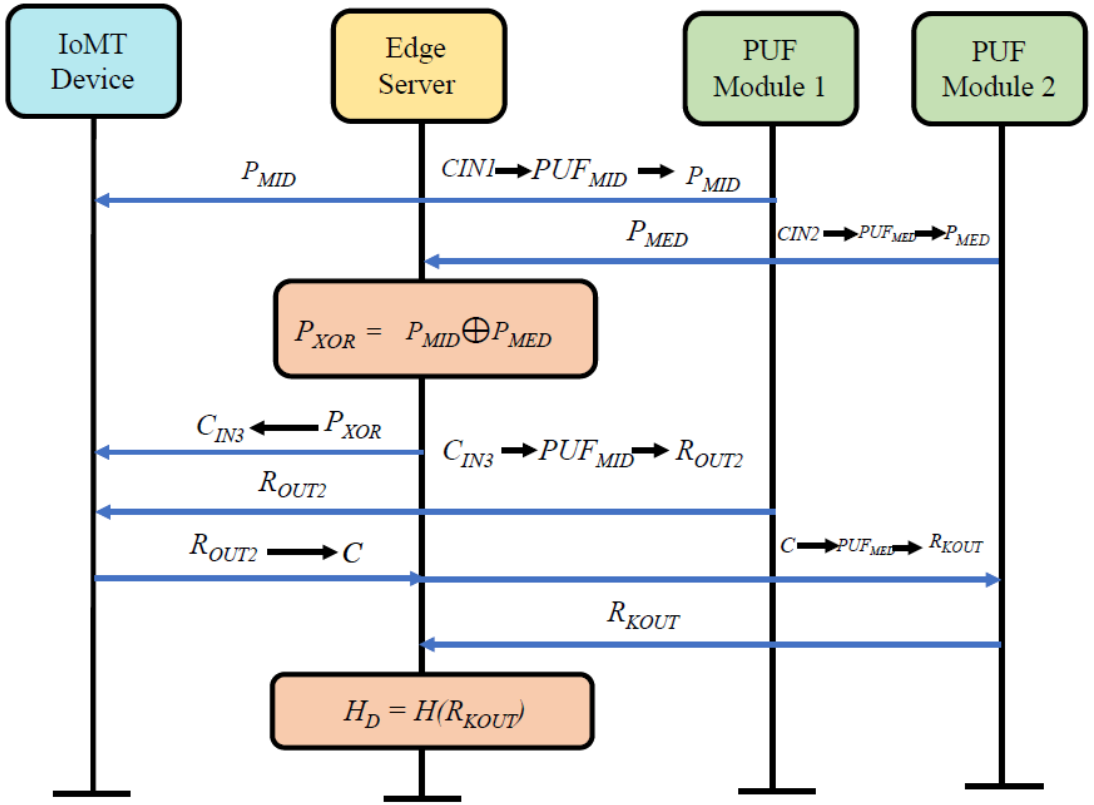
MAM Channel



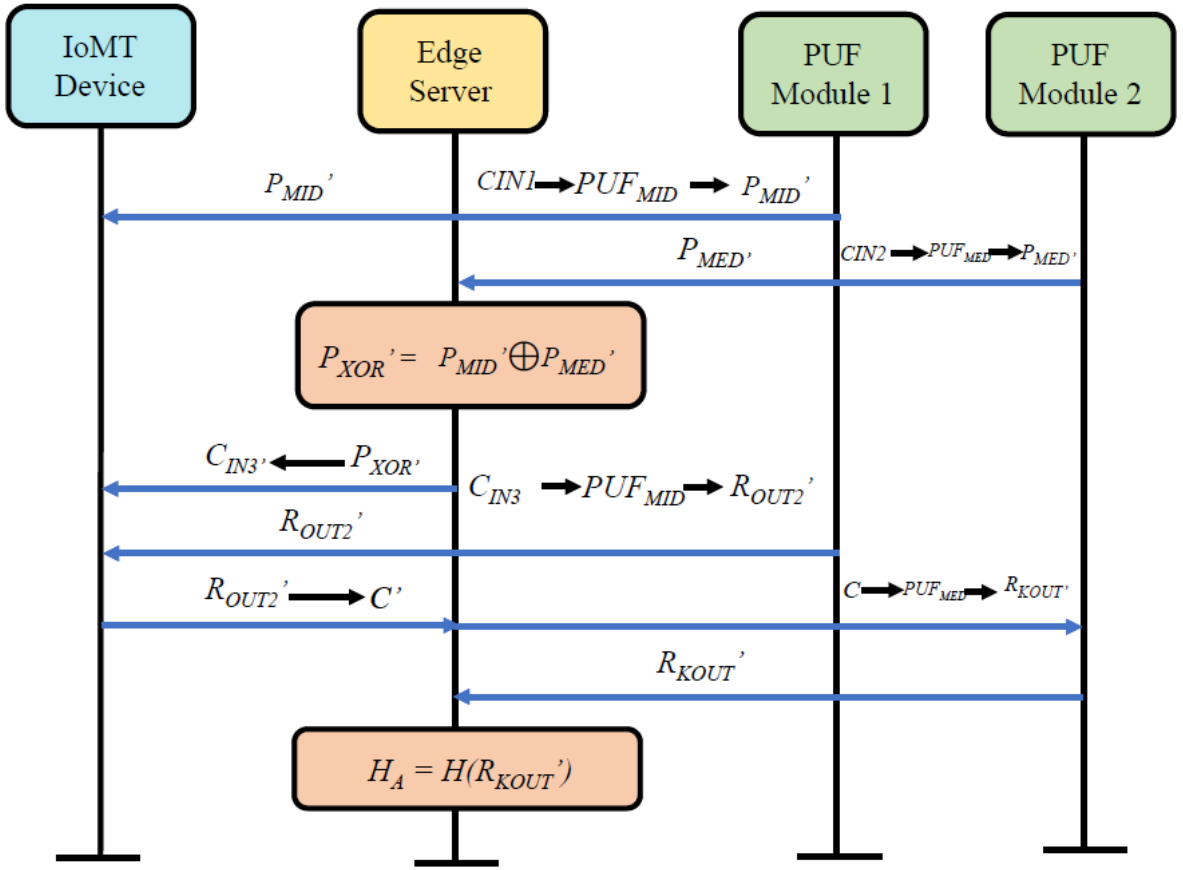
- MAM Channel once initiated consists of a sequence of transactions where each transaction provides an address for the next transaction

Source: Lamtzidis, O.; Pettas, D.; Gialelis, J. A Novel Combination of Distributed Ledger Technologies on Internet of Things: Use Case on Precision Agriculture. *Appl. Syst. Innov.* **2019**, *2*, 30. https://doi.org/10.3390/asi2030030

Working Flow of PUFchain 3.0

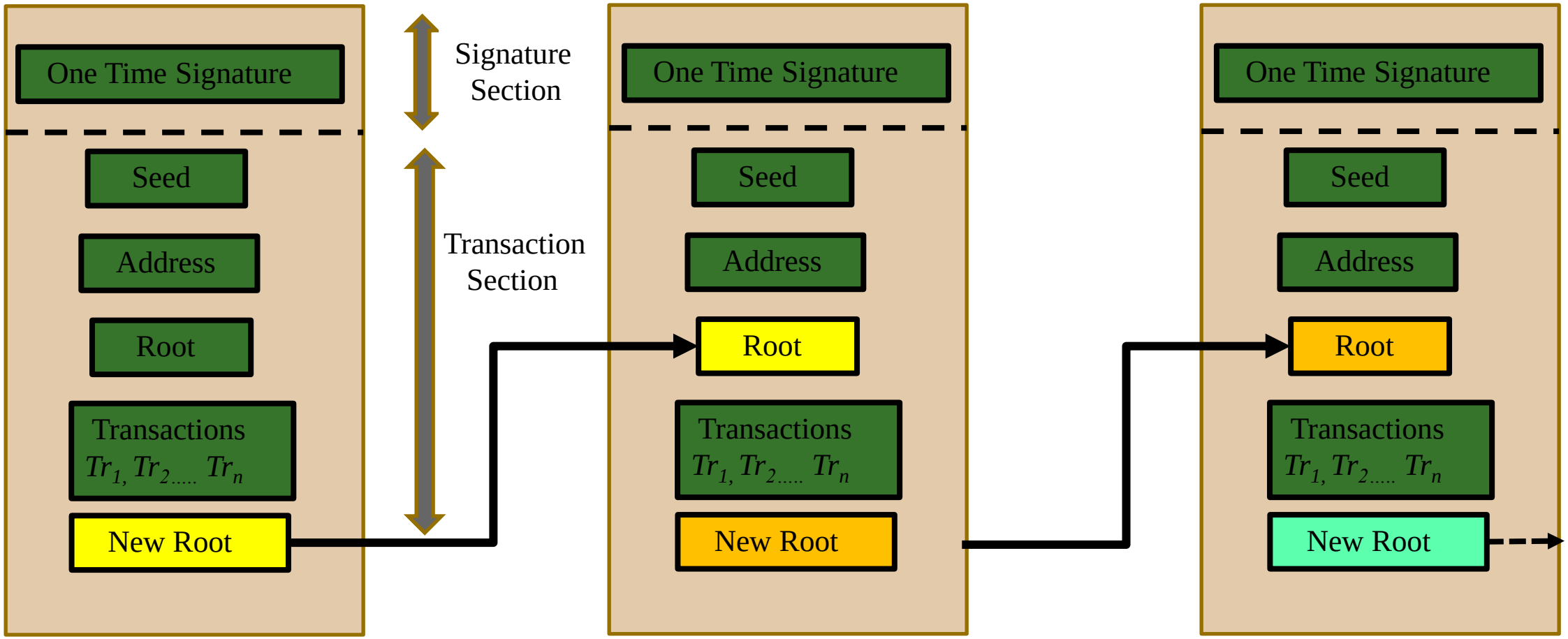


Steps for Device Registration

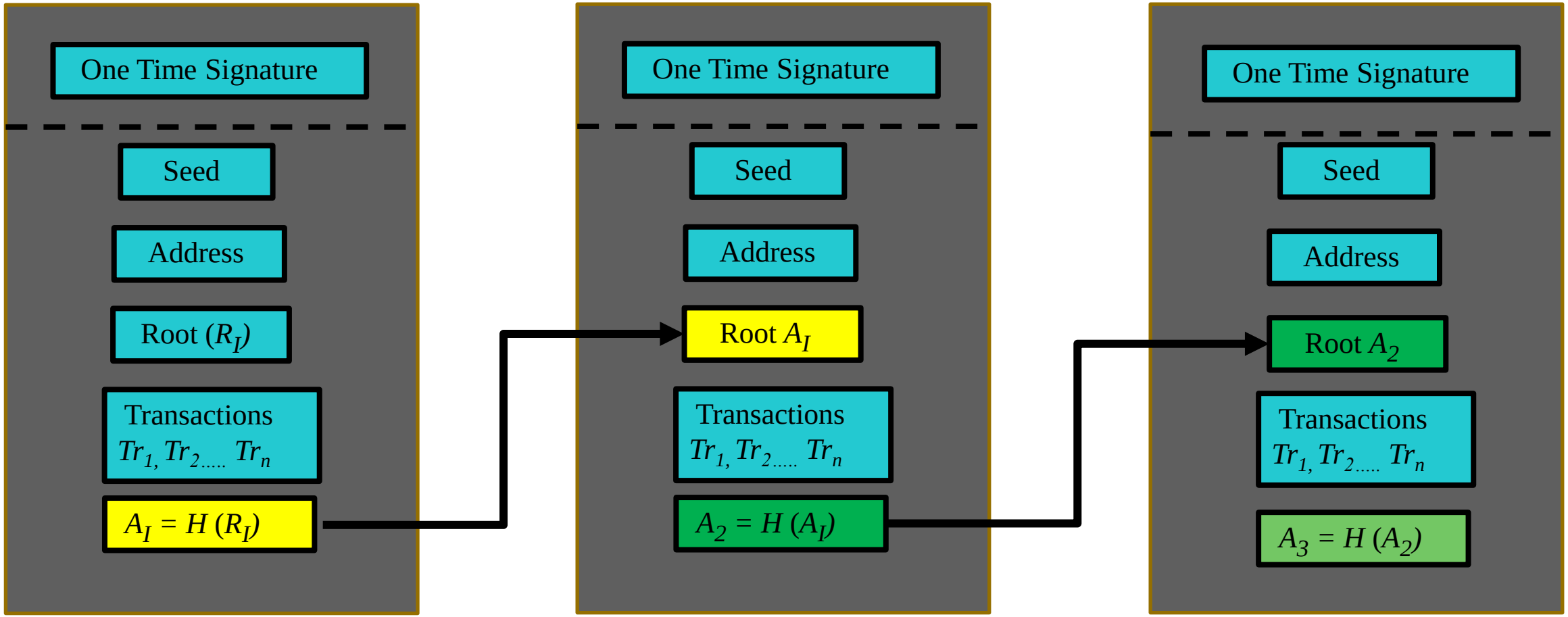


Steps for Authentication

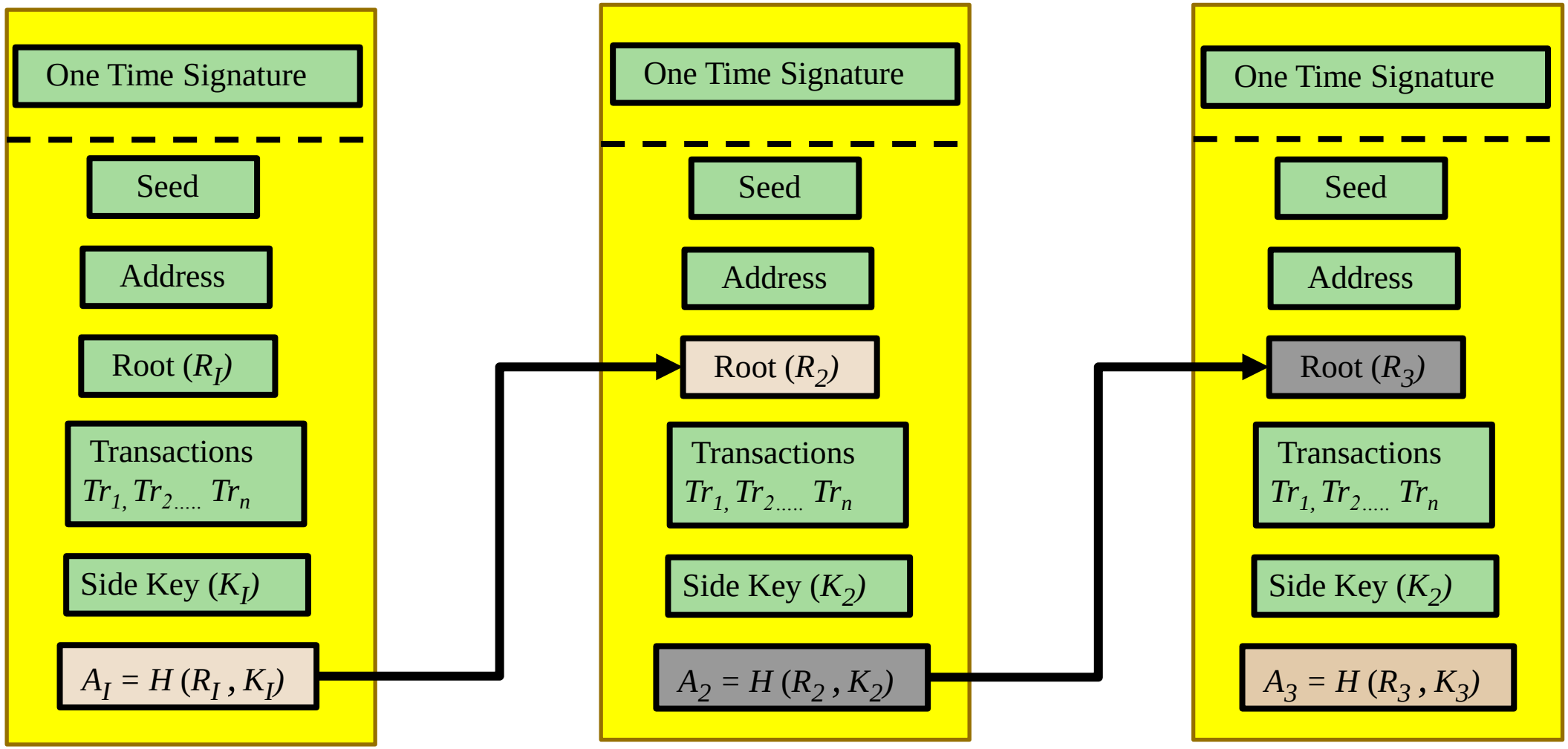
MAM in Public Mode



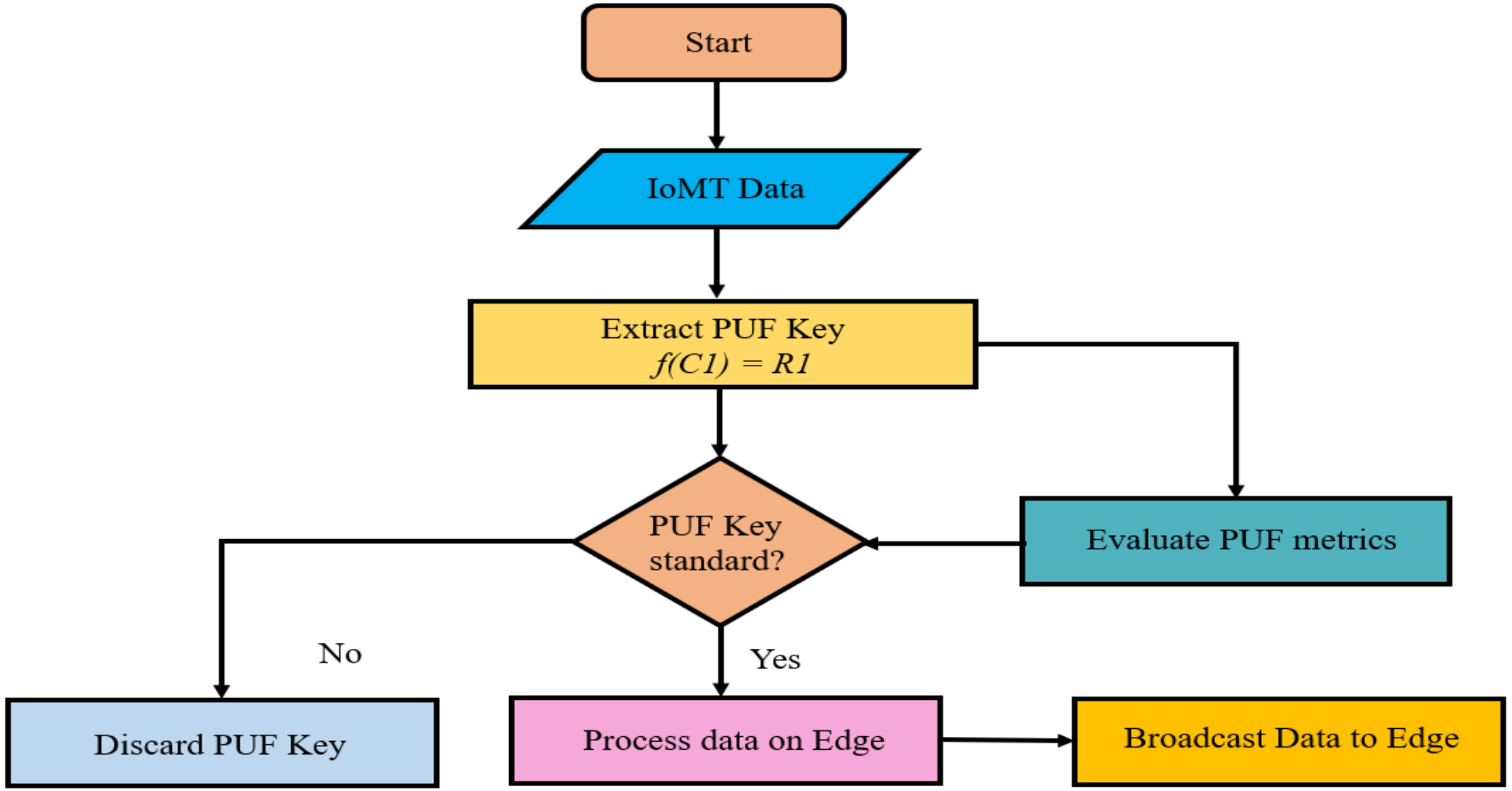
MAM in Private Mode



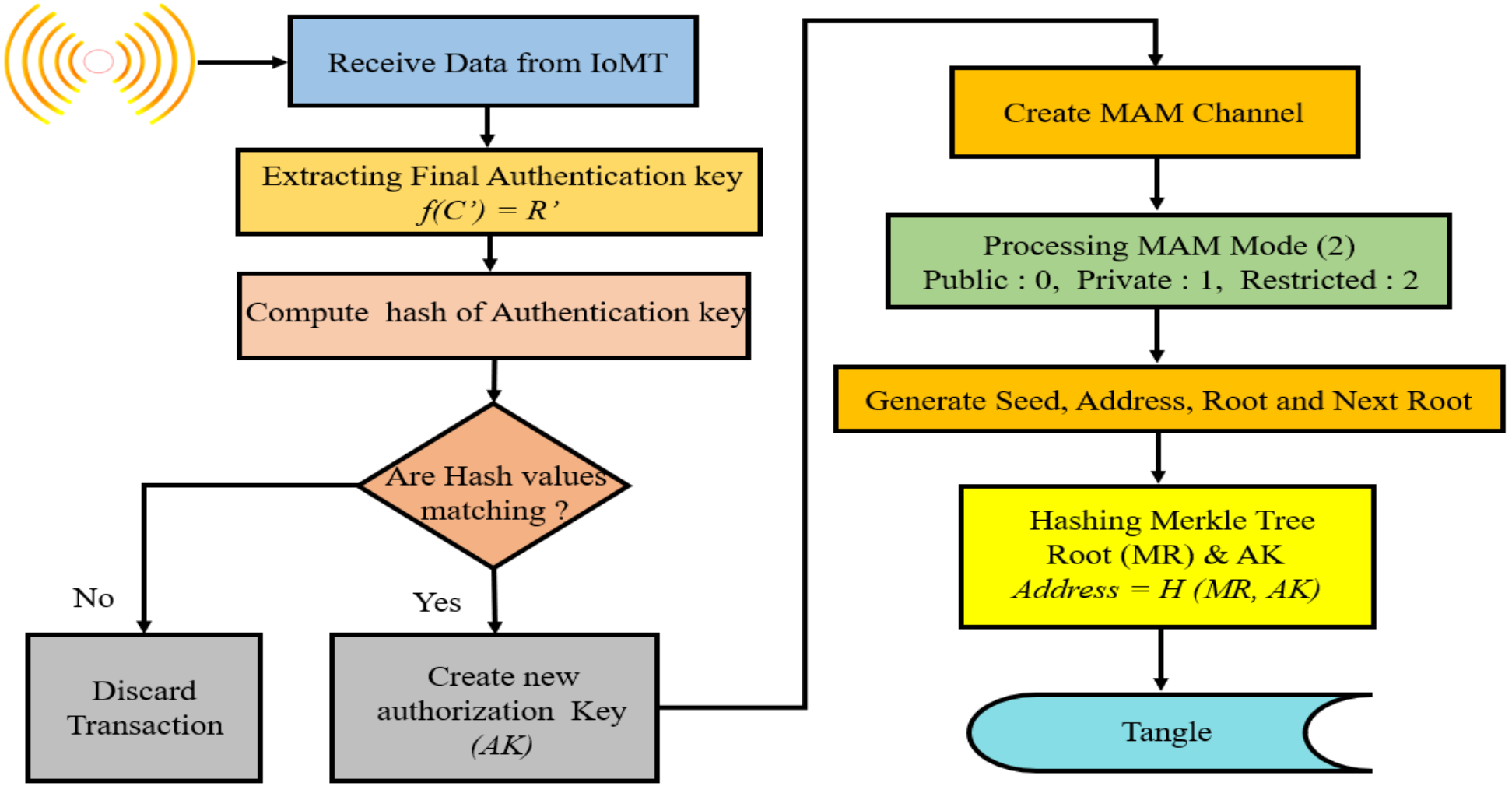
MAM in Restricted Mode



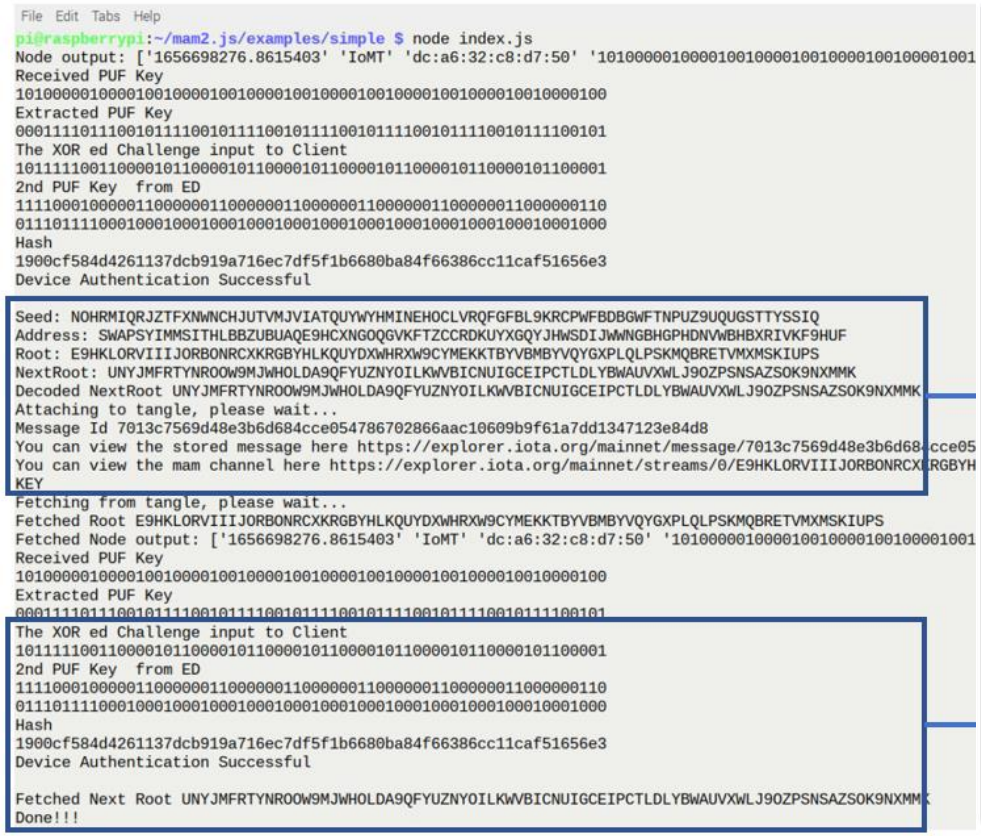
PUFChain 3.0 Enrollment



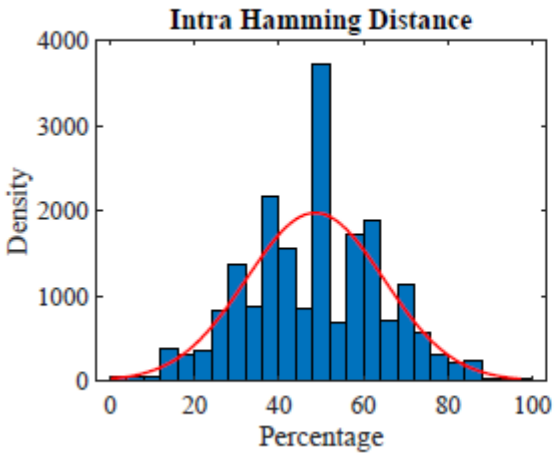
Authentication

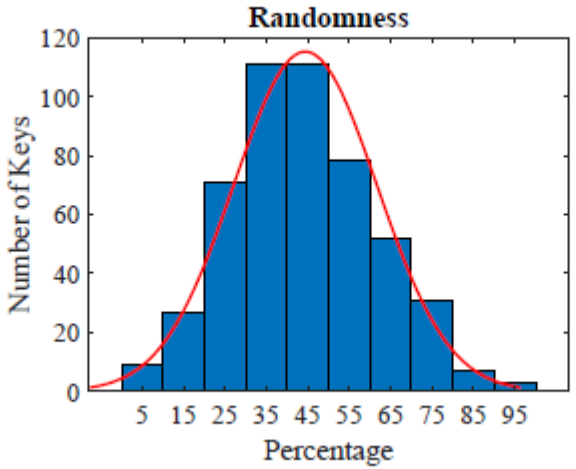


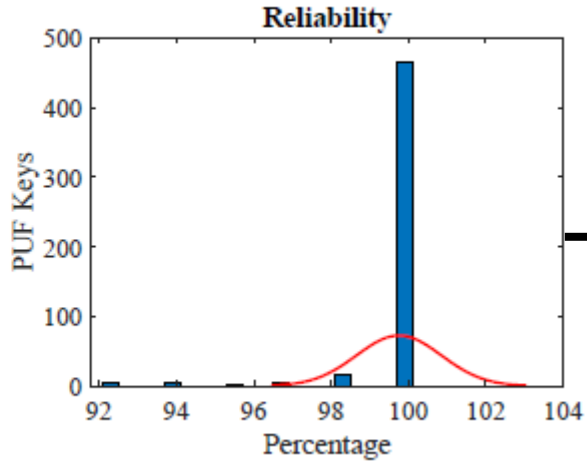
Implementation and Validation



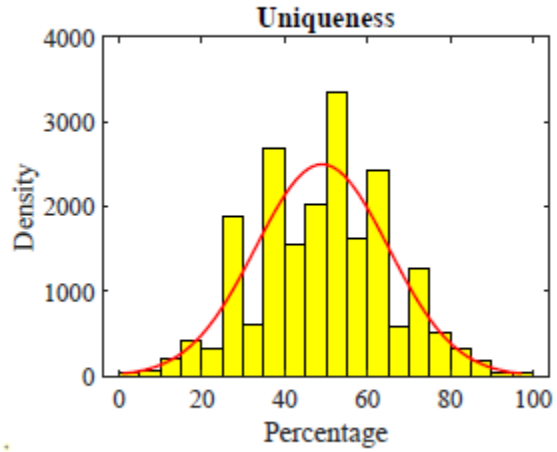
PUF Metrics

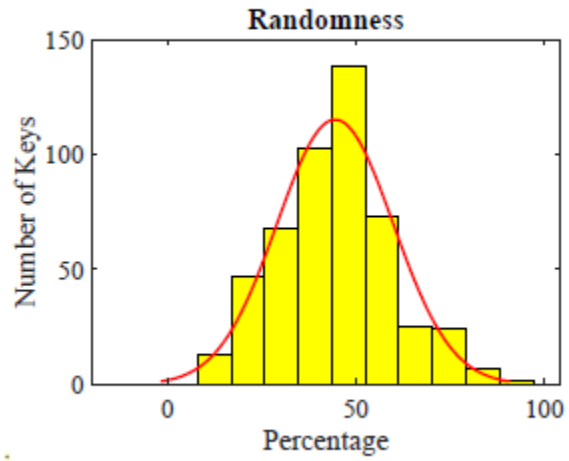


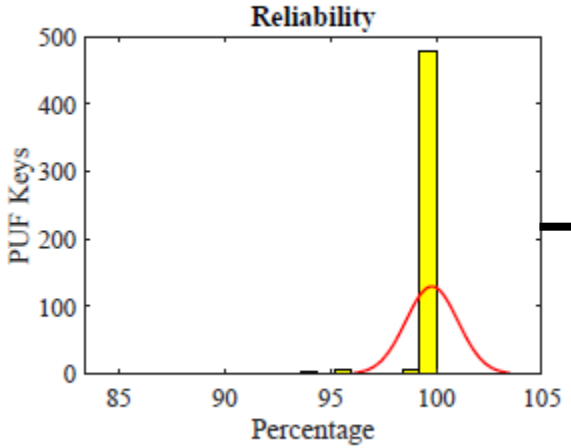




PUF Module 1

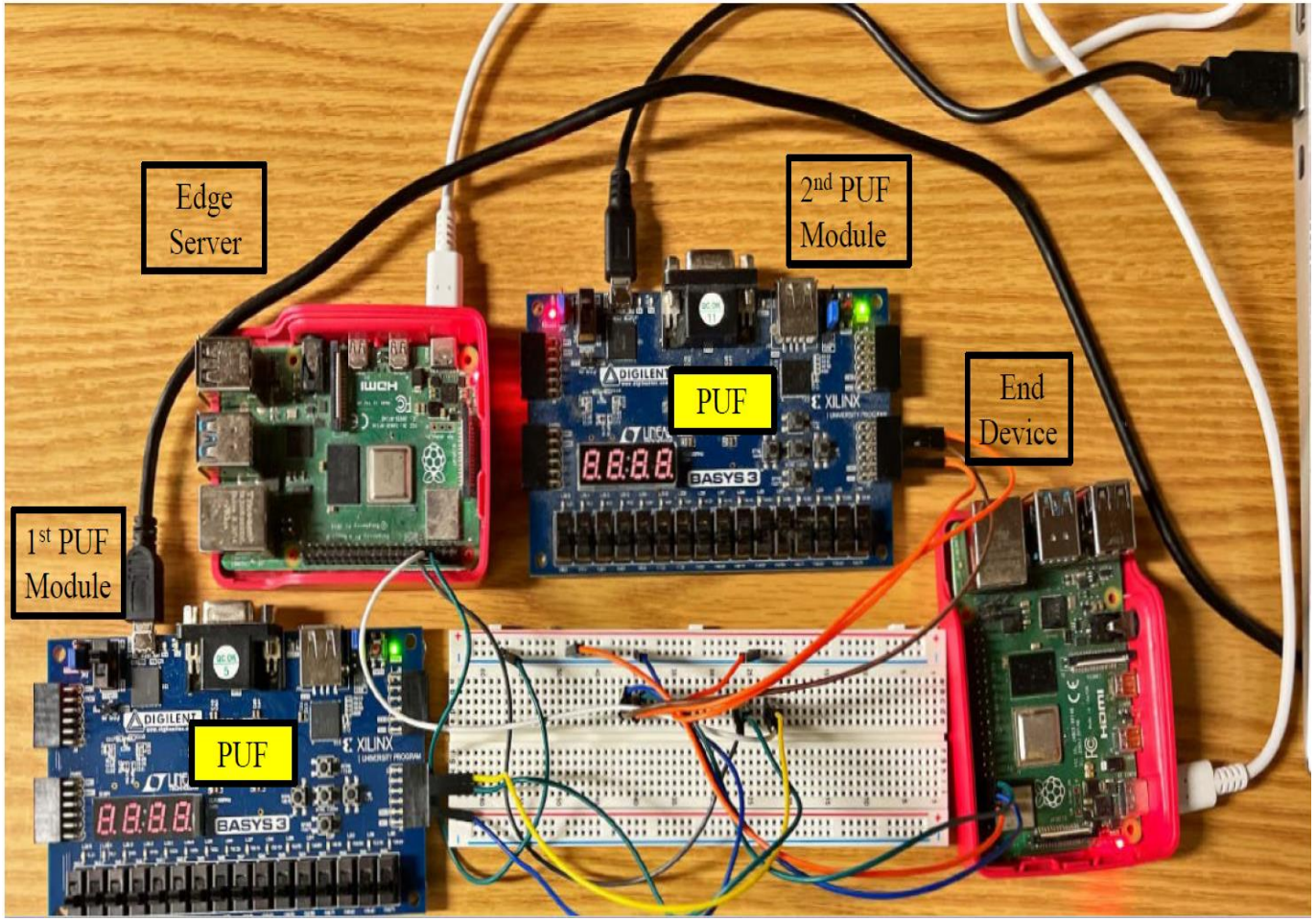






PUF Module 2

Prototype



Parameters	Results
Application	Smart Healthcare
DLT	IOTA Tangle
Communication Protocol	MAM
PUF Module	Arbiter PUF
Programming	JavaScript, Verilog, Python
Working Mode	Restricted
IOTA Network	Mainnet
Number of PUFs	2
PUF	xc7a35tcpg236-1
Edge Server	Single Board Computer

Summary

- This paper proposed and validated a sustainable security approach for device authentication and data confidentiality by utilizing PUF and IOTA Tangle.
- IOTA Tangle is becoming an alternative for Blockchain in IoT applications due to its capability in offering robust security for data as the Blockchain while being ‘Miner and Transaction Free’
- A robust security protocol for device authentication using Arbiter PUF which supports higher number of CRPs has been implemented and stored in Tangle using MAM in a restricted mode

Future Research

- Exploring the possibility of a scalable Blockchain based consensus mechanism using PUF and IOTA Tangle to achieve the objective of Security-by-Design could be a direction for future research.
- Idea of implementing PUF based authentication in Public and Private modes of MAM depending on the security requirements could be explored.
- Exploring the feasibility of a Trusted Platform Module (TPM) integrated PUF-based cryptographic scheme to attain the objective of Security by Design (SbD) in IoMT.

Thank You !!